ICICI Bank — NRI Services

FATCA/CRS Declaration Form for Individual

To: ICICI Bank Limited India

Customer ID: _____ Bar Code: _____ Date: _____

Applicant type	Primary Applicant	Joint applicant 1	Joint applicant 2	Mandate holder
Name:				
Residential Status: Resident / Non-resident				

Part I- Please fill in the country for each of the following (Mandatory for all customers):

	Field name	Primary Applicant	Joint applicant 1	Joint applicant 2	Mandate holder
a)	Country of Birth				
b)	Country of Citizenship				
c)	Country of Present Residence				
d)	Country of Residence for Tax Purposes (In case of multiple tax residences, please specify all the countries of tax residence)	i) ii) iii)	i) ii) iii)	i) ii) iii)	i) ii) iii)
e)	US Person*	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

*US person means - a citizen or resident of the US or a green card holder or an estate of a decedent who was a citizen or resident of US

Part II. Refer the form filling guidelines

1. If in all fields above, the country mentioned by you is India (except in case of seafarers) and if US person status is No, please proceed to Part IV.
2. If for any of the above field, the country mentioned by you has any country other than India and if US person status is No, please provide the Tax Payer Identification Number (TIN) or functional equivalent (FE) as issued in the specific country in the table - Part II A or B as applicable
3. If for any of the above field, the country mentioned by you is US and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent (FE) as issued in the specific country in the table – Part II A or B as applicable
4. If US person is No, Country of birth is US, also fill Part II C, Part III and Part IV
5. If any of the indicia parameters (address, telephone) is outside India and TIN / Functional equivalent is not available as not a resident for tax purpose of that country, then fill Part III and Part IV

II A. Please fill the following details (If TIN/FE available)

Sr. No	Field name	Primary Applicant	Joint applicant 1	Joint applicant 2	Mandate holder
i	TIN or FE Number				
	TIN or FE name				
	Country of issue				
ii	TIN or FE Number				
	TIN or FE name				
	Country of issue				
iii	TIN or FE Number				
	TIN or FE name				
	Country of issue				

II B. If you do not have Taxpayer Identification Number/functional equivalent, please tick the reason for the same as given below:

☐ **I am a person resident outside India with (choose only if applicable):**

Type of document	Field name	Primary Applicant	Joint applicant 1	Joint applicant 2	Mandate holder
Country not issuing TIN/FE (mention Visa/Residence/ Work permit number)	Document name				
	Document number				
	Country of issue				
Dependent visa	Document name				
	Document number				
	Country of issue				
Student visa	Document name				
	Document number				
	Country of issue				
Seafarer status	Document name				
	Document number				
	Country of issue				
Going to the country of residence for the first time (mention Visa number. TIN / FE to be communicated to the bank within 90 days, else account will get closed)	Document name				
	Document number				
	Country of issue				

OR

☐ **I am a person resident in India as well as resident for tax purposes in India (Please also fill Part III self – certification)**

II C) In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate. Please also fill Part III Self-Certification.

Applicant type	Reason/s for not having relinquishment certificate
Primary applicant	
Joint applicant 1	
Joint applicant 2	
Mandate holder	

Part III- Self-Certification: To be filled only if-

a) Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
b) Country of Birth is US and US person is mentioned as "No" in Part I

☐ I confirm that I am not a US person and not a resident for tax purpose of US though my Country of Birth is US. Therefore, I am providing the following document as proof of my citizenship and residency in Country other than US.

☐ I confirm that I am not a resident for tax purpose of any country other than India and US though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and/or residency in India.

Document Proof submitted (Please provide document details being submitted)

Document name	Primary Applicant	Joint applicant 1	Joint applicant 2	Mandate holder
Passport				
Election Id Card				
PAN Card				
Driving License				
UIDAI Letter				
NREGA Job Card				
Govt. Issued ID Card				

Part IV- Customer Declaration (Applicable for all customers)

1. Under penalty of perjury, I certify that:

   a) The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)** or

   b) The applicant is taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder Is a tax resident outside of India)

2. I understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions.

3. I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

4. I agree that as may be required by domestic regulators/tax authorities the Bank may also be required to report reportable details to CBDT or close or suspend my account.

5. I certify that I have provided the information on this form to the best of my knowledge and belief and the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

Applicant type	Primary applicant	Joint applicant 1	Joint applicant 2	Mandate holder
Signature				
Name				
Date(DD/MM/YYYY)				

Functional Equivalent of TIN includes the following:

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.