

## KYC Annexures and Branch Declarations

### Customer Annexures

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**Customer Annexures**

**A) FATCA/CRS Declaration Form**

		Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
Part I- Please fill in the country for each of the following (Applicable for all customers):					
1	<b>Country of:</b>				
a)	Birth				
b)	Citizenship				
c)	Residence for Tax Purposes				
d)	Current Residence (Overseas Country for NRI)				
2	US Person (Yes / No)				
<p>Note-The term United States person means:</p> <p>(a) an individual, being a citizen or resident of the United States of America;</p> <p>(b) partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;</p> <p>(c) a trust if: i. a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and ii. one or more U.S. persons have the authority to control all substantial decisions of the trust;</p> <p>(d) an estate of a decedent who was a citizen or resident of the United States of America</p> <p>a. If in all fields above, the country mentioned by you is India and (except in case of seafarers) and if you do not have US person status, please proceed to Part III for signature.</p> <p>b. If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:</p>					
i)	Taxpayer Identification Number or Functional Equivalent (Please Specify Name and Number)	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
<p>Functional Equivalent of TIN includes the following: A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.</p>					
c.	If you satisfy the criteria mentioned in II (b) above but do not have Taxpayer Identification Number/ functional equivalent, please tick the reason for the same as given below	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
<input type="checkbox"/>	I am a person resident out of India with (choose only if applicable):				
<input type="checkbox"/>	Country not issuing TIN/Functional equivalent (mention Visa/ Residence / Work permit number)				
<input type="checkbox"/>	Dependent visa (mention dependent visa number)				
<input type="checkbox"/>	Student visa (mention student visa number)				
<input type="checkbox"/>	Seafarer status (mention CDC/visa number)				
<input type="checkbox"/>	Going to the country of residence for first time (mention visa number. TIN/ functional equivalent to be communicated to the bank within 90 days, else account will get closed)				
OR					
<b>I am a person resident in India as well as resident for tax purposes in India (Please also fill Part IV self-certification)</b>					
d.	In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate  Please also fill Part IV Self-Certification.	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder

**Part III- Customer Declaration (Applicable for all customers)****(I) Under penalty of perjury, I certify that:**

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person) or
  2. The applicant is taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)
- (ii) I understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions.
- (iii) I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect
- (iv) I agree that as may be required by domestic regulators/tax authorities the Bank may also be required to inform reportable details to CBDT or close or suspend my account.
- (v) I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

<b>Signature</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder	
<b>Name</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder	<b>Date</b>

**Part IV- Self-Certification:** (Not Applicable for NRI customers except for point (b) below): To be filled only if-

- (a) Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
- (b) I confirm that I am not a US person or a resident for Tax purpose in any country other than Country of Birth is US and US person is mentioned as "No" in Part I India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and / or residency

<b>Signature</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder	
<b>Name</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder	<b>Date</b>

Field Name	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
Passport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Election Id Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PAN Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Driving License	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
UIDAI Letter	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NREGA Job Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Govt. Issued ID Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Functional Equivalent of TIN includes the following:

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.

 **B) CERSAI Declaration (CKYC Annexure):**

Field Name	Primary Applicant	Joint Applicant 1	Joint Applicant 2
KYC Number			
Maiden Name (Applicable only for married female applicants)			
Mother's Name			
Spouse Name			
City of Birth			
Citizenship			
Type of address (Overseas address)			
District (Applicable only for India address)			
Residential Status (NRI, PIO, OCI, Resident, Foreign National)			

**C) Form 60 Declaration:**

(Mandatory only if Customer has not provided PAN Number)

Field Name	Primary Applicant	Joint Applicant 1	Joint Applicant 2
Total Agricultural Income			
Total Non-Agricultural Income			
*Date of PAN Application			
*PAN Acknowledgement Number			
Father's Name			

\*Fields are mandatory if sum total of Agricultural and Non Agricultural income is greater than equal to INR250000/-

I/We do hereby declare that what is stated above is true to the best of my knowledge and belief. I further declare that, I do not have a Permanent Account Number and my/our estimated total income (Including income of spouse, minor child etc, as per section 64 of Income Tax Act, 1961) computed in accordance with the provisions of Income-tax Act, 1961 for the financial year in which the above transaction is held will be less than maximum amount not chargeable to tax.

Date : \_\_\_\_\_

Place : \_\_\_\_\_

<b>Signature</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	
<b>Name</b>	Primary Applicant	Joint Applicant 1	Joint Applicant 2	<b>Date</b>

**D) PERSON OF INDIAN ORIGIN (PIO) / OVERSEAS CITIZEN OF INDIA (OCI) DECLARATION (IF APPLICABLE)**

**Primary Applicant**     **Joint Applicant 1**     **Joint Applicant 2**

I/We hereby declare that I am a person of Indian origin and I satisfy one of the following conditions. (Please tick your choice).

	Primary Applicant	Joint Applicant 1	Joint Applicant 2
(a) I was a citizen of India by virtue of the Constitution of India or the Citizenship Act, 1955 (57 of 1955); or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) I belonged to a territory that became part of India after the 15th day of August, 1947; or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) I am/ was a child or a grandchild or a great grandchild of a citizen of India or of a person referred to in clause (a) or (b); or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) I am a spouse of foreign origin of a citizen of India or spouse of foreign origin of a person referred to in clause (a) or (b) or (c)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**E) For verification of e-ticket details by the Bank (Only applicable where E-ticket copy is provided)**

**Primary Applicant**     **Joint Applicant 1**     **Joint Applicant 2**

With regard to my application for opening /NRO/FCNR account with your bank, I have submitted my travel ticket, which was downloaded from the following website - \_\_\_\_\_.

I hereby authorise ICICI bank to download/check the details through the said site by using the pertinent details submitted by me/provided herein, E-ticket number/ PNR number: \_\_\_\_\_

Any other relevant details for viewing the e-ticket: \_\_\_\_\_

**F) Seafarer's Declaration (Only applicable if the account is for Seafarer)**

I hereby declare and confirm that I am a Non Resident Indian and I am presently / was on contract with \_\_\_\_\_ (company) registered in \_\_\_\_\_ (address of the principal). I request you to open a NRI Account/s in my name on the basis of the submitted documents:

I also confirm that I will inform the Bank, in case I do not renew my contract or choose to go on a new contract or I am unable to proceed on a new contract or in any case in the event that my status of Non Resident Indian is altered.

Accordingly, I will have the Non Resident accounts opened in my name re-designated to Resident/ RFC accounts (as applicable).

**G) Blood Relative / Spouse – Declaration (Only applicable when applicant is using the address of a blood relative)**

I hereby solemnly declare that I stay with Mr. / Ms. / Dr. \_\_\_\_\_ The proof of my current / permanent address \_\_\_\_\_ as mentioned on the account opening form is in the name of my relative.

My Relationship with Address Proof Holder:

Field Name	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
Members of HUF	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Husband	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wife	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Father (including step father)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mother (including step mother)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Son (including step son)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Son's wife	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Daughter	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Daughter's husband	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Brother (including step brother)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sister (including step sister)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I am enclosing the below as a proof of relationship (Tick whichever is applicable):

Field Name	Primary Applicant	Joint Applicant 1	Joint Applicant 2	Mandate Holder
Passport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Birth Certificate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Marriage Certificate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ration Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Matriculation Certificate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
School Leaving Certificate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIO and OCI card issued by Indian Government	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Driving License	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Life Insurance policy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PAN card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Affidavit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Aadhaar Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Voter's ID Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NREGA Job Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**H) No Objection for acceptance of Primary Applicant's address**

Applicable to:  Joint Applicant 1  Joint Applicant 2

I, \_\_\_\_\_ (Primary Account Holder), affirm that: My current/permanent address is as

I affirm that I have no objection to allow the joint applicant(s) to use my address for bank communication.

The information given above is correct and I have not concealed anything. I am aware it is illegal and a criminal offense to deliberately furnish false information or to suppress information.

**I) Declaration from customer going abroad for the first time**

I/We declare that:

1) I have been employed by

Details	Primary Applicant	Joint Applicant 1	Joint Applicant 2
Employer's Name			
Employer's Address			
City			
State			
Country			
PIN			

2) And do not have an overseas address proof as I am visiting the overseas country for the first time. Hence I request the bank to accept the above address as the overseas address. I have attached a self-attested copy of the employment letter as proof of employment, which bears the address of the employer.

3) I undertake that I will inform the Bank officials of any subsequent change in my address or residential status.

I unconditionally acknowledge and accept that the Bank may at its sole discretion close the account at any time and send the funds lying to the credit by way of a banker's cheque drawn in my name to my communication address provided in the account opening form submitted by me after deducting there from such costs, charges and expenses as may be applicable from time to time.

Signature	Primary Applicant	Joint Applicant 1	Joint Applicant 2

**Branch Declaration**

**A) De-dupe for multiple accounts**

De-dupe was conducted before the above mentioned non-resident account with account no. \_\_\_\_\_ ("Account") was opened and the following matches were found:

Sr No	Customer Id	Account Number	Customer Name
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

\* Use a separate Annexure / plain paper if more than 10 accounts and incase of Joint Applicants  
Confirmation of De-Dupe done for Multiple Accounts through i-view  
I View Login Date: \_\_\_\_\_

**B) Signature mismatch verification form**

(Applicable to All Account Holders as well as Mandate Holder)

With reference to my < document > bearing reference number < document number > and the account opening form I have submitted herewith, I request you to record with yourselves my specimen signature as below:

Details	Primary Applicant	Joint Applicant 1	Joint Applicant 2
Name			
Signature			
Reason for Difference			

Signature of the Bank Official: \_\_\_\_\_

Name of the Bank Official: \_\_\_\_\_

Employee Number/Signature Number: \_\_\_\_\_

Signature of the SM/BM/BOM : \_\_\_\_\_

Name of the SM/BM/BOM : \_\_\_\_\_

Employee Number/Signature Number: \_\_\_\_\_

**C) Declaration from Bank official for Contract or Employment letter / Bank statement / Utility bill viewed and printed from the customer's registered Email ID (Strike that is not applicable)**

I hereby declare that I have followed the below mentioned procedure for checking and obtaining the Contract or Employment letter / Bank statement / Utility bill of the above customer received on his/her registered e-mail ID.

Sr No.	Check Points	Primary Applicant	Joint Applicant 1	Joint Applicant 2
1	The document has been printed in the presence of ICICI Bank official.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
2	The customer has logged into his/her e mail ID and has taken a print of document.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
3	The Sender Email ID matches with the Employer / Bank / Service provider name. (Strike whichever is not applicable).	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
4	The details on the screen are checked live before printing and the printout is compared with all details on the screen.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
5	The customer has self-attested the print.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
6	The stamp "verified with original" and signature of the bank official is done on the document downloaded.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified

**D) Declaration from Bank official for online bank statement / Utility bill (Strike that is not applicable)**

DECLARATION

Name of the Applicant: \_\_\_\_\_

I hereby declare that I have followed the below mentioned procedure for availing the Online Bank or Credit Union mo / Utility bill of the above customer.

Sr No.	Check Points	Primary Applicant	Joint Applicant 1	Joint Applicant 2
1	The print out is taken in the presence of ICICI Bank official.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
2	That the website used is the genuine website of the said bank or credit union / Service provider (Strike whichever is not applicable).	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
3	It has a 'Verisign' or some other sign to indicate a secure login or is a secure site, i.e. link in the address bar for the login page starts with https.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
4	The customer has logged into his/her account and has taken a print of the document.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
5	The details on the screen are checked live before printing and the printout is compared with all details on the screen.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
6	The customer has self-attested the print	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
7	The stamp "verified with original" and signature of the bank official is done on the downloaded document.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified

**E) Declaration for e visa**

Name of the Applicant: \_\_\_\_\_

I hereby declare that I have followed the below mentioned procedure for availing the E-visa of the above customer.

Sr No	CCheck Points	Primary Applicant	Joint Applicant 1	Joint Applicant 2
1	Website accessed for the verification is genuine website of the Immigration authority.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
2	It has a 'Verisign' or some other sign to indicate a secure login or is a secure site, i.e. link in the address bar for the login page starts with https.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
3	Details which are provided by the customer, entered to view the E visa details.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
4	The details on the screen are checked live before printing and the printout is compared with all details on the screen.	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified
5	Details on the Visa submitted by the customer, is matching with the details on the E visa	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified	<input type="checkbox"/> Verified