

Application Form No. :

(For Head Office use only)

Client ID :

Date (DD/MM/YYYY) :

(For Branch use only)

SDO Code :

SDO Name :

Indoc No. :

Scheme Code :

Demat Account Opening Form (CDSL)

(For Resident Non Corporates)

Type of Account *(Please specify the category correctly, change in category not allowed)*

Ordinary Resident Others _____
please specify

Experience convenience with ICICI Bank

- **e-Instructions:** Transfer or pledge securities by just speaking to the Phone Banking Officer with "Speak to Transfer" or through Internet/ IVR and save costs too .
- **Mobile Alerts:** Receive SMS alerts for all debits/credits as well as for any request which cannot be processed.
- **Mobile Request :** Access your demat account by sending SMS to enquire about Holdings, Transactions, Bill & ICIN details.
- **Digitally Signed Statement:** Receive your account statement and bill by email.
- **Corporate Benefit Tracking:** Track your dividend, interest, bonus through your account statement.



ICICI Bank Ltd.

ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate,
Chandivali Farm Road, Opp. Mhada Colony, Chandivali, Andheri (East), Mumbai - 400072.

CHECK LIST

Please tick the relevant boxes.

Title	Signature of (C - Client(s), N - Nominee, G - Guardian)	Page No.	Check to be made	Please tick
1. Signatures				
• Direct Debit Mandate (only for ICICI Bank account holder(s))	C1	Pg. No. 5	Signature of ICICI Bank a/c. holder(s)	<input type="checkbox"/>
• Client's signature across the photograph	C2	Pg. No. 5	Signature of all holders	<input type="checkbox"/>
• Declaration (we are registering this signature in our record, hence, please be careful)	C3	Pg. No. 6	Signature of all holders	<input type="checkbox"/>
• Client's Signature (in case of nomination only)	C4	Pg. No. 8	Signature of all holders	<input type="checkbox"/>
• Acknowledgement between ICICI Bank and applicant(s) for Rights & Obligations.	C5	Pg. No. 13	Signature of all holders	<input type="checkbox"/>
• Letter of confirmation	C6	Pg. No. 9	Signature of all holders	<input type="checkbox"/>
• Request for receipt of SOT through Email	C7	Pg. No. 10	Signature of all holders	<input type="checkbox"/>
• Schedule of charges	C8	Pg. No. 12	Signature of first holder & Signature of Demat officer	<input type="checkbox"/>
• Application for BSDA facility	C9	Pg. No. 17	Signature of all holders	<input type="checkbox"/>
• Witness for nominee's signature (in case of nomination only)	W1	Pg. No. 8	Signature of one Witness	<input type="checkbox"/>
2. Enclosures				
• Duly verified copies of Proof of Identity of all the demat account holders*		Pg. No. 6	As per option listed inside	<input type="checkbox"/>
• Duly verified copies of Proof of Address (Permanent & Correspondence Address) of all the demat account holders*		Pg. No. 6	As per option listed inside. Address on the proof must be same as specified on Page 3	<input type="checkbox"/>
• Date of Birth proof* (in case of minor)				<input type="checkbox"/>
• Duly verified copy of PAN Card of all the demat account holders*		Pg. No. 3 & 4		<input type="checkbox"/>
• Cancelled copy of Cheque of non ICICI Bank account specified (ECS mandate to be provided on Page 5)		Pg. No. 4 & 5		<input type="checkbox"/>
• Certified copy of Power of Attorney (POA) and Identity & Address proofs of POA holder (if applicable)				<input type="checkbox"/>
• Duly verified copy of HUF PAN Card or HUF agreement (if applicable)*				<input type="checkbox"/>
• Declaration form for HUF* (if applicable)				<input type="checkbox"/>
3. Photographs (all the photographs should be pasted, do not staple)				
• Photographs of all holders (even if holder is minor)		Pg. No. 5		<input type="checkbox"/>
• Photograph of Guardian (in case holder is minor)		Pg. No. 5		<input type="checkbox"/>
• Photograph of POA holders (in case of POA only)				<input type="checkbox"/>
* Self attestation by the client to be obtained on all pages of photocopies of the documents.				
4. Other important mandatory checks				
<ul style="list-style-type: none"> • Bank Details are complete. • Minors and HUF cannot open Joint A/c. with other Holders and cannot nominate. • Separate cheque for each account opening form. • In the schedule of charges, please ensure that the rate card chosen by the customer is correctly ticked. Further special waivers (if any) such as HNI or Super HNI etc. should be mentioned on the cover page enclosed with necessary approval respectively. • Name mentioned on all the documents and on the form (Page No. 3,4,5,6,8 & 10) is legible and is the same everywhere. • Do not mention the titles such as Mr./Mrs./Ms for individual's and suffix words such as "& Sons" or "HUF" in case of HUF a/c. In case the customer has already written the same, kindly get this corrected under client's signature. • Avoid writing "Same as above" in the address fields. • The client(s) should not use more than one specimen signature and the same should be uniform across the form. If any alteration in the signature the Branch manager's attestation is required with stamp. • Branch official should not sign and should not affix the round stamp on the agreement as authorised signatory for ICICI Bank Ltd. • Branch official should give 'KYC Certification' on Page No.14 • The demat account opening form should be filled-in completely in all respects. • Joint holders cannot be a nominee. • Telephone No. and Fax No. should be mentioned with the STD codes. • In case of HUF, the Karta has to sign alongwith rubber stamp of HUF. • The client should authenticate any corrections / alteration in the account opening form. • Client's signature appearing on the proofs should tally with the signatures on the demat account opening form. • Branch official should affix a stamp as 'PAN Verified' on all the photocopies of PAN cards. • PAN number to be checked on the Income Tax Department web site (http://incometaxindiaefiling.gov.in/challan/enterpanforchallan.jsp) for name checking of account holders. • The proof of address in the name of the spouse may be accepted. • ICICI Bank employee cannot act as a 'Witness' to any customer request in his capacity as a Bank employee. 				
5. Checklist verified :				
ICICI Bank personnel name _____		Signature _____		

Please send duly filled account opening form with supporting documents to CLOG CPC-AOT, ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate, Chandivali Farm Road, Opp. Mhada Colony, Chandivali, Andheri (East), Mumbai - 400072.

Address	Building / Tower / Apartment / Place / Chamber / Mansion									
	Street / Road / Marg / Lane / Avenue / Rasta									
	City / Town / Village					District				
	State					Pin Code (Mandatory) <input type="text"/>				
* PAN No.	<input type="text"/>					Place / City of Birth* <input type="text"/>				
Telephone No. (With STD Code)	<input type="text"/>					Fax Number (With STD Code) <input type="text"/>				
* Mobile No.	<input type="text"/>					SMS Facility (If not ticked, assumed 'Yes') <input type="checkbox"/> Yes <input type="checkbox"/> No (Mandatory if A/C is operated by Power of Attorney)				
* Email Address						Date of Birth <input type="text"/>				

* The email id mentioned above belongs to Self Spouse Dependent Parent Dependent Children
 * The mobile number mentioned above belongs to Self Spouse Dependent Parent Dependent Children

Third Holder's Full Name (Please fill in your name correctly, Name once captured cannot be changed.)	Prefix <input type="text"/>	First Name <input type="text"/>	Middle Name <input type="text"/>	Last Name <input type="text"/>
Name of Father	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Spouse	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender		Marital Status <input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others	
Address	Building / Tower / Apartment / Place / Chamber / Mansion			
	Street / Road / Marg / Lane / Avenue / Rasta			
	City / Town / Village		District	
	State		Pin Code (Mandatory) <input type="text"/>	
* PAN No.	<input type="text"/>		Place / City of Birth* <input type="text"/>	
Telephone No. (With STD Code)	<input type="text"/>		Fax Number (With STD Code) <input type="text"/>	
* Mobile No.	<input type="text"/>		SMS Facility (If not ticked, assumed 'Yes') <input type="checkbox"/> Yes <input type="checkbox"/> No (Mandatory if A/C is operated by Power of Attorney)	
* Email Address			Date of Birth <input type="text"/>	

* The email id mentioned above belongs to Self Spouse Dependent Parent Dependent Children
 * The mobile number mentioned above belongs to Self Spouse Dependent Parent Dependent Children

Details of Account holder(s):			
Account holder(s)	Sole/ First Holder	Second Holder	Third Holder
Name			
Occupation (please tick any one and give brief details)	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Self Employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Self Employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Self Employed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised
Brief details:			
ITCircle/Ward/ District No			

Guardian Details (Please fill in case the Sole Hoder is a minor)		KYC Number of Guardian (If available) <input type="text"/>	
Gardian Name	Prefix <input type="text"/>	First Name <input type="text"/>	Middle Name <input type="text"/>
	Last Name <input type="text"/>		
	Relationship (If any)		PAN <input type="text"/>
	Email Address		Telephone No. (With STD Code) <input type="text"/>
Address		Pin Code (Mandatory) <input type="text"/>	

Name* : _____

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc, although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)	
Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual - Director <input type="checkbox"/> Minor <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (specify) _____

I/We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')

Automatic Credit Yes No

I/We wish to receive dividend/interest directly in my/our account given below through ECS. (If not marked, default option would be 'Yes') (ECS is mandatory for locations notified by SEBI from time to time) Yes No

Bank Details (Dividend Bank Details)

1. Name(s) of Bank Account Holder(s)

2. Account Type Savings Account Current Account Others (Specify) _____

3. Account Number

4. Bank Name

5. Branch

6. Branch Address
Building / Tower / Apartment / Palace / Chamber / Mansion
Street / Road / Marg / Lane / Avenue / Rasta
Area

City _____ State _____ Country _____ Pin Code (Mandatory) _____

7. Bank Code (9 digit MICR Code)

8. IFSC Code (11 character)

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (II) Photocopy of the Bank Statement having name and address of the BO
 (III) Photocopy of the Passbook having name and address of the BO, (or)
 (IV) Letter From the Bank - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/ mentioned on the document.

Bank Account details for Debit of Demat charges:

ICICI Bank Account No _____

Account Type _____

*(The bank account may also be used for recovering all DP charges in respect of the account. For Debit of Demat charges, ICICI Bank Account is Mandatory.)

SMS Alert Facility

MOBILE No. +91 _____ (Mandatory, if you are giving Power of Attorney / POA) (if POA is not Granted & you do not wish to avail of this facility, cancel this option).

Easi

To register for easi, Please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online

To, ICICI Bank Ltd. (Applicable only if Bank mentioned in above is ICICI Bank Ltd.)

I/We hereby also authorise the Bank to debit all charges in respect of the Demat Account payable by me/us to the above mentioned account. I/We undertake that sufficient balances shall be maintained by me/us and shall in no way impair the right of the Bank to debit the Service Charges. I/We hereby further authorise the Bank to charge interest, at the prevailing commercial rate, on overdrawn balance in the Said Account(s) due to the debiting of Services Charges.

The Bank shall not be obliged to provide overdraft facility on the Said Account except those arising out of debit of Service Charges payable by me/us. I/We hereby undertake to remit the amount of debit plus the interest within 15 days of being notified about the same.

I/We also authorise the Bank to arrange to exercise a lien over the dematerialised shares till the dues are remitted in full by me/us.

Banker's Lien and Set-Off: ICICI Bank shall have the paramount right of set-off and lien, irrespective of any other lien or charge, present as well as future, on all the deposits held/ balances lying in any Account of the Customer, whether in single name or joint name(s), to the extent of all amounts payable by the Customer arising as a result of any of ICICI Bank's services extended to and/or used by the Customer or as a result of any other facilities that may be granted by ICICI Bank to the Customer. ICICI Bank is entitled without any notice to the Customer to settle any indebtedness whatsoever owed by the Customer to ICICI Bank, whether actual or contingent, or whether primary or collateral, or whether joint and/or several, including without limitation indebtedness under any indemnity given by the Customer to ICICI Bank hereunder or under any other document/ agreement, by adjusting, setting-off any deposit(s) and transferring monies lying to the balance of any Account(s) held by the Customer with ICICI Bank, notwithstanding that the deposit(s)/ balances lying in such Account(s) may not be expressed in the same currency as such indebtedness. ICICI Bank's rights hereunder shall not be affected by the Customer's bankruptcy, insolvency, death or winding-up.

I/We hereby undertake not to revoke this authority without the written approval from the Bank. I/We hereby specifically agree and confirm that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India.

I, hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date: _____

C₁ X _____ X _____ X _____

Signature of Sole/First Bank Account Holder Signature of Second Bank Account Holder Signature of Third Bank Account Holder

Bankers Verification for Standing Instructions (Required only in case bank account mentioned is not ICICI Bank account)

Standing instructions accepted by us and the Bank A/C No. & signature of a/c holder has been verified.

Name of Authorised Signatory of Bank : _____

Date : _____ Signature of Authorised Signatory of Bank with Bank Seal

Note: As per SEBI, ECS Mandate is mandatory for receiving refunds for public issues.

Account to be operated through Power of Attorney (POA)

Yes No (If Yes provide true certified copy along with photo signed across by POA Holder)

Photograph of all holders [Please provide documentary proof of identity & address for all holders-options listed under documentary proof below.]

Sole/First Holder

Please paste recent passport size Photograph (Don't staple)

Second Holder

Please paste recent passport size Photograph (Don't staple)

Third Holder

Please paste recent passport size Photograph (Don't staple)

C₂ X Sign across the photograph X Sign across the photograph X Sign across the photograph

In case of minor applicant photographs of Guardian and Minor.

Documentary Proof (Tick ✓ below) (Should be verified with the original by the official at Branch.)

As per CDSL rules & regulations, all account holders need to provide proof of address & proof of identity. In case of joint holdings all joint holders need to provide these proofs.

Proof of Identity

1. Passport No & Passport Expiry Date
2. Voter ID Card
3. Driving License No & Driving license expiry date
4. PAN card with photograph (Mandatory)
5. Unique identification number (UID-Aadhaar) while accepting Aadhaar copy, **the first 8 digits of the Aadhaar number should be masked or redacted in front of the customer**
6. NREGA Job Card
7. Credit cards/Debit cards issued by Banks with applicant's photo
8. Employee Identity card with applicant's Photo, issued by-
 - Central/State Government and its Departments with Identification No.
 - Statutory/Regulatory Authorities
 - Professional Bodies such as ICAI, ICWAI, ICSI & Bar Council
 - Scheduled Commercial Banks
 - Public Financial Institutions
 - Public Sector Undertakings
9. Identity card/document with applicant's photo, issued by-
 - Scheduled Commercial Banks
 - Professional Bodies such as ICAI, ICWAI, ICSI & Bar Council
 - Colleges affiliated to Universities

Proof of Address

1. Passport No & Passport Expiry Date
2. Voter ID Card
3. Driving License No & Driving license expiry date
4. Bank Statement/Pass book with multiple pages having latest entry details. (not more than two months old)
5. Bank Letter
6. NREGA Job Card
7. Verified copy of
 - Electricity bill (not more than two months old)
 - Residence telephone bill (not more than two months old)
 - Gas bill (not more than two months old)
8. Self-declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts
9. Document with address, issued by
 - Central/State Government and its Departments
 - Statutory/Regulatory Authorities
 - Public Sector Undertakings
 - Scheduled Commercial Banks
 - Public Financial Institutions
 - Professional Bodies such as ICAI, ICWAI, ICSI & Bar Council
 - Colleges affiliated to Universities
10. Flat maintenance bill
11. Insurance copy
12. Unique identification number (UID-AADHAR) while accepting Aadhaar copy, **the first 8 digits of the Aadhaar number should be masked or redacted in front of the customer**

Declaration

I/We declare that all the particulars and information given in the application are true, correct, complete and upto date in all respects and I/We have not withheld any information. I/We agree and confirm that I/we have read the terms and conditions of the account facility, and the terms and conditions pertaining to usage of channels for access of the said account facility as put up on www.icicibank and am/are aware of and will adhere to all the terms/conditions of opening/maintaining of the said account and usage of the channels with/by ICICI Bank Ltd as may be in force from time to time. I/We further declare that the rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant. I/We understand that certain particulars given by me/us are required by the operational guidelines governing banking companies. I/We understand that ICICI Bank Ltd/ Group Companies/ Agents reserve the right to reject any application without providing any reason. I/We further declare and confirm that the credit facilities, if any, enjoyed by me/us with other banks has been disclosed here in above.

I/We authorize ICICI Bank Ltd./its Group Companies or their agents to make references and enquiries in respect of the information required by the application form which ICICI Bank Ltd/ its Group Companies Agents consider necessary. I/We undertake to inform ICICI Bank Ltd./ Group Companies/ Agents regarding change in my/our residence / employment and to provide any further information that ICICI Bank Ltd./ Group Companies/ Agents may require.

I/We authorize ICICI Bank Ltd/ its Group Companies and their agents to exchange, share or part with all the information relating to my/our application and transaction information to other ICICI Group Companies/ Banks/ Financial Institutions/ Credit Bureaus/ Statutory Bodies and shall not hold ICICI Bank Ltd/ its Group companies and their agents or other persons to whom such information is disclosed liable for the disclosure or use of such information.

We, the joint applicants hereby confirm that we have instructed and authorised the first applicant to view/access the information on the said account for and on behalf of all of the undersigned and under our specific instructions as stated in this letter. We hereby state that should we wish to revoke the above authorisation, we shall duly issue a letter of revocation to ICICI Bank in this regard. We hereby agree that until ten days after ICICI Bank receives such letter revoking the above mandate, the authorization as aforesaid shall hold good.


I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

I/We hereby declare that above given information of GSTIN with respect to the number and billing address is correct and Bank can update the same in its records. I / We hereby declare that the information provided can be used by ICICI Bank for the purposes of charging GST and reporting of transactions on the GSTN portal and for other related aspects in relation to reporting as stated under GST Act and applicable rules in this regard.

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

Place: _____

	Name(s) of holder(s) <i>(Please ensure that spelling is same as that on page 3)</i>	Signature(s) 
Sole/First Holder <i>(Signature of guardian in case of minor)</i>		X
Second Holder		X
Third Holder		X

1. Thumb impressions and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public.

Channel registration for web / phone	
I would like to avail of Internet Banking channel and Phone Banking channel for this Demat Account.	
First Applicant's Mother's Maiden name is (Mandatory) *	
Existing ICICI Internet Banking Customer User Id, if any	

* Please remember this name. You may be required to give this to authenticate yourself when you call up our call centre

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM (FOR OFFICE USE ONLY)

IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.
- For account of a minor two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)

Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- In case of institutional clients, namely, FIs, MFs, VCFs, FVCI's, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

ATTESTATION / FOR OFFICE USE ONLY

Documents Received <input type="checkbox"/> Certified Copies	
KYC VERIFICATION CARRIED OUT BY	
Date	□□ - □□ - □□□□
Emp. Name	□□□□□□□□□□□□□□□□
Emp. Code	□□□□□□□□□□
Emp. Designation	□□□□□□□□□□□□□□
Emp. Branch	□□□□□□□□□□□□□□
[Employee Signature]	
INSTITUTION DETAILS	
Name	□□□□□□□□□□□□□□□□
Code	□□□□□□□□□□
[Institution Stamp]	

SECTION B : NOMINATION DETAILS

Nomination Registration No. _____

Date : _____

I / We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I/We do not wish to nominate any one for this demat account.

I/We nominate the following persons who is/are entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.

Nomination Details		Nominee 1	Nominee 2	Nominee 3
1.	Nominee Name *First Name			
	Nominee Name Middle Name			
	Nominee Name *Last Name			
2.	*Address of Nominee(s)	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>
	*City			
	*State			
	*Pin Code			
	*Country			
	Telephone No. (With STD Code)			
	Mobile No.			
	Fax No.			
	PAN No.			
	UID			
	Email Address			
3.	*Relationship with the BO:			
4.	Date of Birth (Mandatory if Nominee is a minor)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
5.	Name of the Guardian of Nominee (if nominee is a minor) *First Name			
	Name of the Guardian of Nominee (if nominee is a minor) Middle Name			
	Name of the Guardian of Nominee (if nominee is a minor) *Last Name			
6.	*Address of the guardian of nominee:	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>	<i>Building / Tower / Apartment / Place / Chamber / Mansion / Street / Road / Marg / Lane / Avenue / Rasta</i>
	*City			
	*State			
	*Pin Code			
	*Country			
	Age			
	Telephone No. (With STD Code)			
	Mobile No.			
	Fax No.			
	Email Address			
7.	*Relationship of the Guardian with the Nominee			
8.	*Percentage of allocation of securities			
9.	*Fractional allocation of the securities if any (please tick the respective nominee, (any one) if tick not marked default will be first nominee)			

Note : The nominee residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any. * **Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note: One witness shall attest signature(s) / thumb impression(s)

Details of the Witness

(W ₁)	Name of witness	Address of witness	Signature of witness
Witness			

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

(C ₄)	First/Sole Holder or Guardian	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in blue ink).

SECTION C : LETTER OF CONFIRMATION

ICICI Bank Limited

MUMBAI.

Dear Sirs,

Sub: Opening of an Account for holding Dematerialised Securities

I/We refer to my/our application for opening of a dematerialised securities account ("DP account") with ICICI Bank Limited (hereinafter called "ICICI Bank")

We hereby agree and confirm that:

1. ICICI Bank as Depository Participant(DP) will not be liable to us for any action taken or authorised to be taken under the Rights & Obligations of Beneficial Owner and Depository Participant prescribed by SEBI & Depositories for any claim, loss, damages or expenses arising in connection with any such action or omission except in so far as the same results from bad faith, willful default or negligence on the part of ICICI Bank.
2. ICICI Bank as DP will not be liable or responsible for the loss or damages arising on account of any natural calamities or on account of malicious damages caused on account of any strike, civil commotion, riots, war, war like events or circumstances beyond the control of ICICI Bank.
3. ICICI Bank as DP, will not be responsible for the title, validity or genuineness of any securities which have been dematerialised and notified subsequently by the Registrar of any defects in title/validity which has resulted in reduction of the dematerialised holdings of the client and the consequences thereon.
4. I/We here by agree to hold ICICI Bank harmless against all actions, proceedings, claims and demands, cost and expenses incidental there to which may be brought against, suffered or incurred by ICICI Bank as Depository Participant by reason of all acts done by it pursuant to the provisions of the Rights & Obligations of Beneficial Owner and Depository Participant prescribed by SEBI & Depositories including any action or omission under taken in compliance with any instructions received by ICICI Bank which ICICI Bank believes in good faith to have been given by me/us and make good the losses incurred by ICICI Bank on all legal, professional and other expenses incurred by ICICI Bank.
5. I/We undertake to send ICICI Bank instructions relating to the transfer of securities latest by 4p.m.on business day(excluding Saturday, Sunday and bank holidays) prior to the execution date indicated by me/us in the debit instruction. We note that the instructions received by ICICI Bank after this, will be carried out and updated only on "Best Effort" basis. ICICI Bank is not liable for any losses and arising out of TIFDs accepted on the "Best Effort" basis.
6. ICICI Bank will not be responsible for any failure as a result of non receipt or receipt of incomplete/erroneous instructions though received within the stipulated time.
7. All instructions will be signed by me/us or by an authorised person on my/our behalf whose signature has been lodged with ICICI Bank along with relevant documents as required by ICICI Bank. I/We shall inform ICICI Bank about the changes in the list of authorised persons and their specimen signatures from time to time.
8. I/We am/are agreeable to pay the fees for the services rendered by ICICI Bank as per Section E/tariff sheets signed by me. I/We hereby authorise and instruct ICICI Bank to debit the bank account to be notified by me/us for the fees and other charges and undertake to ensure that adequate balance is made available in the bank account. I/We further confirm that, these securities held in my/our DP account are subject to alien/right of set off in favour of ICICI Bank for the claims of monies payable to ICICI Bank
9. I/We here by agree, confirm and understand that ICICI Bank reserves the right to revise the terms and conditions or rules and procedures pertaining to the DP account and that such revised terms and conditions shall then be binding on me/us.
10. The particulars given and declarations made by me/us in the account opening form, other declarations and in this letter are true as on the date here of and any changes will be informed to you immediately. I/We further confirm that ICICI Bank is not liable and responsible for any incorrect information given to ICICI Bank nor for any false declaration furnished to ICICI Bank and the consequential effects thereon.
11. I/We have read and understood the rules and regulations pertaining to the Depository and Depository Participants in connection with opening and operating of DP accounts.
12. I/We authorise ICICI Bank to issue/re-issue a TIFD booklet through Post or Courier or as per your standard practice. The Courier charges will be debited in my/our account.
13. (a) I/We hereby authorise ICICI Bank, to claim from my/our bank account mentioned under "Electronic Clearing Service(Debit Clearing) Mandate/ Direct Debit Mandate and Bank details for receiving dividend/interest", the charges in respect of the Demat Account.
I/We further confirm that :
 - i) I/We am/are agreeable to participate in Electronic Clearing Services (Debit Clearing) of RBI and enclose the Mandate Form.
 - ii) Therelevant Bank has been authorised to debit my/our designated bank account with charges relating to my demat account with ICICI Bank and remit the proceeds to ICICI Bank in the manner advised by ICICI Bank from time to time. ICICI Bank may forward a copy of the authorisation to the relevant Bank.
 - b) I/We hereby undertake not to revoke the standing instruction given to my relevant Bank with regard to my designated bank account for the above without the written approval from ICICI Bank or after complying with clause 'd' below.
 - c) We will ensure that sufficient balance will be maintained in the said bank account for meeting the dues.
 - d) I/We will provide fresh authorisation/instruction for claiming ICICI Bank dues from the new bank account in the event of our closing this designated bank account. I/We will provide fresh authorisation to ICICI Bank at least one month prior to our closing the account.
14. I/We have agreed to ICICI Bank for accepting any facsimile(fax) instructions, I/We confirm that ICICI Bank as DP shall not be liable for any losses or damages which I/We may suffer as a consequence of ICICI Bank as DP acting in accordance with or in reliance upon, any fax instructions. I/We hereby agree that I/We shall indemnify the DP and keep ICICI Bank as DP indemnified and saved harmless, at all times against any claims, losses, damages, in connection with or arising out of or in relation to any fax submission.
15. I/We further confirm that the above confirmation are in addition to those confirmation given by me/us in the account opening form by me/us for opening the DP account.
16. I/We have been provided with the Rights and Obligations document prescribed by SEBI and hereby confirm that I have read, understood and agree to abide by the terms of the said Rights and Obligation document and understand that the Rights and Obligations document is legally binding on me/us.
17. I/we understand that this letter of confirmation is subject to the Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository that may be in force from time to time.
18. We understand and acknowledge that ICICI Bank Limited ("ICICIBank") and its subsidiaries and Affiliates (collectively referred to as "Group companies") are engaged, inter alia, in providing banking services, asset/port folio management, insurance, custodial and depository participant services, securities trading, securities brokerage, as well as providing investment banking (including merchant banking and banker to an issue), and financial advisory services etc. Further, we also acknowledge that ICICI Bank and its Group companies are engaged in distribution of third party products including products of Group companies, and may hold proprietary positions in various products, subscribe on own accounting issues/offers and avail on their own account various services offered by ICICI Bank and its Group companies under different capacities. ICICI Bank shall ensure fair, unbiased and objective treatment of all its clients and observe high standards of integrity in the conduct of their business in any situation of conflict of interest, whether potential/possible or actual. In furtherance of the same we here by confirm that ICICI Bank shall, at all times, comply with provisions of applicable laws, and such other rules, regulations, policies, guidelines as may be prescribed by the Securities and Exchange Board of India or such other regulatory or statutory authority from time to time. ICICI Bank has in place appropriate processes, controls and code of conduct for it's personnel governing fair practice and conflict of interest

C₆ X

Signature of Sole/First Holder

X

Signature of Second Holder

X

Signature of Third Holder

Place:

Date :

**REVISED DEPOSITORY SERVICE CHARGES FOR RESIDENT RETAIL CUSTOMERS
(EFFECTIVE FROM SEPTEMBER 1, 2016)**

DESCRIPTION OF CHARGES	REGULAR ACCOUNT	BASIC SERVICES DEMAT ACCOUNT (BSDA)
Account Opening	Nil	Nil
Annual Maintenance Charges	₹ 700	Holding value Upto ₹ 50,000 – NIL From ₹ 50,001 - ₹ 2,00,000 – ₹ 100 Above ₹ 2,00,000 – Tariff of regular accounts.
Buy – Market and Off-Market	Nil Nil for Trades done at www.icicidirect.com	Nil Nil for Trades done at www.icicidirect.com
Sell – Market and Off-Market and Redemption of MF units (% of transaction value of each ISIN) – Max. ₹ 500 for debt instruments <ul style="list-style-type: none"> • Instruction submitted through Internet (E-inst / IVR) • Instruction submitted through Call Centre • Instruction submitted at Branches 	<ul style="list-style-type: none"> • 0.04% of value of securities (Min. ₹ 30 and Max ₹ 25,000) • 0.04% of value of securities (Min. ₹ 35 and Max ₹ 25,000) • 0.04% of value of securities (Min. ₹ 40 and Max ₹ 25,000) • Nil for Trades done at www.icicidirect.com 	<ul style="list-style-type: none"> • 0.06% of value of securities (Min. ₹ 35 and Max ₹ 25,000) • 0.06% of value of securities (Min. ₹ 40 and Max ₹ 25,000) • 0.06% of value of securities (Min. ₹ 45 and Max ₹ 25,000) • Nil for Trades done at www.icicidirect.com
Rejection/failure of Transfer Instruction For Delivery (TIFD)	₹ 30	₹ 30
Extra charges for processing of TIFDs submitted after the cut-off timing (% of transaction value) <ul style="list-style-type: none"> • Instruction submitted through Internet (E-inst / IVR) • Instruction submitted through Call Centre • Instruction submitted at Branches (accepted at Client's risk) 	<ul style="list-style-type: none"> • Nil • Nil • ₹ 10 per ISIN 	<ul style="list-style-type: none"> • Nil • Nil • ₹ 10 per ISIN
Dematerialisation		
For each request form	₹ 50	₹ 50
Extra for each certificate	₹ 3	₹ 3
Rematerialisation	A fee of ₹ 25 for every hundred securities or part thereof subject to maximum fee of ₹ 3,00,000; or a flat fee of ₹ 25 per certificate, whichever is higher.	A fee of ₹ 25 for every hundred securities or part thereof subject to maximum fee of ₹ 3,00,000; or a flat fee of ₹ 25 per certificate, whichever is higher.
Reconversion of MF units	₹ 25 Per Instruction	₹ 25 Per Instruction
Closure of Account	Nil	Nil

Pledge Creation / Closure / Confirmation / Invocation (% of value for each ISIN in each request)	<ul style="list-style-type: none"> If, ICICI Bank is counter party If, ICICI Bank is not counter party 	<ul style="list-style-type: none"> 0.02% (Min. ₹ 35 and Max ₹ 25,000) 0.04% (Min. ₹ 45 and Max ₹ 25,000) 	<ul style="list-style-type: none"> 0.04% (Min. ₹ 60 and Max ₹ 25,000) 0.06% (Min. ₹ 60 and Max ₹ 25,000)
Additional Account Statements	₹ 20	₹ 20	
* Reissuance of Delivery Instruction Slip (DIS) Booklet charge	₹ 50 per booklet	₹ 50 per booklet	

CDSL charges are chargeable extra at actuals. Present CDSL Charges are:

Annual Maintenance Fee for Corporate Account	₹ 500 p.a.
Sell - Market and Off-Market	₹ 5.50 per debit instruction (nil for commercial paper and short-term debt instruments)
For Conversion of MF Units in to SOA (Statement of Account) and Redemption of Mutual fund Units	Flat fee of ₹ 5.50 per transaction.
Remat	A fee of ₹ 10 for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000; or a flat fee of ₹ 10 per certificate, whichever is higher.
Pledge Creation and Pledge Closure	₹ 12 per instruction

Conditions:

- The value of securities and charges is calculated as per CDSL formula and rates.
- There will be a charge of ₹ 100 for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable for discontinuation, if ICICI Bank is unable to recover charges from the customer for any reason whatsoever. In such cases there will be a charge of ₹ 250 for resumption of services and the services will be resumed after a minimum of three working days from the date of receipt of request at Central Processing Office, Mumbai.
- Any service that is not indicated above will be charged separately as per the rates applicable from time to time.
- As per regulatory guidelines, if you close/shift your Demat account, the portion of the advance Annual Service Charge applicable to the balance quarter(s) will be refunded. This is with effect from July 1, 2010.
- ICICI Bank reserves the right to revise the tariff structure from time to time and the same will be communicated to the customers with a notice of 30 days.
- No charges are payable for opening of Demat accounts.
- * Reissuance of Booklet charges will be ₹ 50 per booklet containing 10 leaflets.
- Goods and Services Tax (GST) as per the prevailing rates will be charged in addition to these charges.

 X _____

Signature of Sole/First Holder

X _____

Signature of Second Holder

X _____

Signature of Third Holder

** For future reference of rate card, kindly refer the bank website

ICICBank.com > Product > Demat > Service Charges & Fees

ACKNOWLEDGEMENT

Application No. _____

Accepted the application for opening a depository account from Shri/Smt _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively. Your Demat Client Id will be intimated to you shortly on acceptance. Please quote the DP Id & the Client Id allotted to you in all your future correspondence.

Date: _____ (For ICICI Bank Ltd.)

ICICI Bank Limited Address for communication: ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate, Chandivali Farm Road, Opp. Mhada Colony, Chandivali, Andheri (East), Mumbai - 400072. • Call our Customer Care Numbers • Visit www.icicibank.com

Form No. _____

- I wish to receive Rights & Obligations document through E-mail
- I wish to receive Rights & Obligations document through Physical form

Acknowledgement

To,

Date:

ICICI Bank Limited
CPC-Demat Services, Ground Floor,
B-Wing, Autumn Estate, Chandivali Farm Road,
Opp. Mhada Colony, Chandivali,
Andheri (East), Mumbai - 400072.
DP ID - CDSL 16014301

With reference to my/our application for opening a depository account, I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

©

	Name	Signature(s) of Account Holder(s)
Sole/First Holder		
Second Holder		
Third Holder		

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons what so ever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5 & 6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.

2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Application for Opting for Basic Services Demat Account (BSDA)

Date:

DP ID:

Client ID:

I/we request you to open my/our depository account into BSDA as per the following details and I/we also wish to register my/our mobile number in my/our Demat A/c and subscribe for SMS alerts.

Sole/First Holder	<input type="text"/>		
Mobile No. (Mandatory)	<input type="text"/>	PAN	<input type="text"/>
E-mail	<input type="text"/>		
Second Holder	<input type="text"/>		
Mobile No. (Mandatory)	<input type="text"/>	PAN	<input type="text"/>
E-mail	<input type="text"/>		
Third Holder	<input type="text"/>		
Mobile No. (Mandatory)	<input type="text"/>	PAN	<input type="text"/>
E-mail	<input type="text"/>		

I/we have read and understood the Securities and Exchange Board of India’s guidelines for facility for a BSDA. I/we hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/we also understand that in case I/we at any point of time do not meet the eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account.

Eligibility for availing the facility of BSDA:

- It is mandatory to register for the SMS alert facility before opting for the facility of BSDA.
- Facility is available only for Individual Demat accounts (Excluding HUF accounts).
- Customer should have only one demat account in his capacity as a sole holder or a first holder in the whole depository system, i.e. NSDL and CDSL.
- Value of the holding in this demat account should not exceed Rs. 2 lac.



SIGNATURE OF SOLE/FIRST HOLDER

SIGNATURE OF SECOND HOLDER

SIGNATURE OF THIRD HOLDER

FATCA/CRS Declaration Form

To: ICICI Bank Limited India

DP ID:

Client ID:

Name: _____

Primary Holder 1st Joint Holder 2nd Joint Holder 3rd Joint Holder

Residential Status (Resident / Non-Resident): _____

FATCA/CRS Declaration Form

Part I- Please fill in the country for each of the following (Applicable for all customers):

1.	Country of :	
a)	Birth	
b)	Citizenship	
c)	Residence for Tax Purposes	
d)	Current Residence (Overseas Country for NRI)	
2.	US Person (Yes/No) (Refer definition at bottom)	

Part II- Please note:

- a. If in all fields above, the country mentioned by you is India (except in case of seafarers) and if you do not have US person status, please proceed to **Part III** for signature.
- b. If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or Functional equivalent as issued in the specific country in the table below:

		Country of Issue
i)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent(Please specify name and number)	
ii)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent(Please specify name and number)	
iii)	<input type="checkbox"/> TIN or <input type="checkbox"/> Functional equivalent(Please specify name and number)	

- c. If you satisfy the criteria mentioned in II (b) above but do not have Taxpayer Identification Number/functional equivalent, please tick the reason for the same as given below:

I am a person resident out of India with (choose only if applicable):

- Country not issuing TIN/Functional equivalent _____ (mention Visa / Residence / Work permit number)
- Dependent visa _____ (mention dependent visa number)
- Student visa _____ (mention student visa number)
- Seafarer status _____ (mention CDC/visa number)
- Going to the country of residence for first time _____ (mention visa number. TIN/functional equivalent to be communicated to the bank within 90 days, else account will get closed).

OR

I am a person resident in India as well as resident for tax purposes in India (Please also fill Part IV self-certification)

- d. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reason/s for not having relinquishment certificate _____
Please also fill **Part IV** Self-Certification.

Part III- Customer Declaration (Applicable for all customers)

(I) Under penalty of perjury, I certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person) or**
2. The applicant is taxable as a tax resident under the laws of country outside India. **(This clause is applicable only if the account holder is a tax resident outside of India)**

- (ii) I understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions.
- (iii) I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect
- (iv) I agree that as may be required by domestic regulators/tax authorities the Bank may also be required to inform reportable details to CBDT or close or suspend my account.
- (v) I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

Signature :		Date (DD/MM/YYYY)
-------------	--	-------------------

Name : _____

Part IV- Self-Certification: (Not Applicable for NRI customers except for point (b) below): To be filled only if-

- (a) Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
- (b) Country of Birth is US and US person is mentioned as "No" in Part I

I confirm that I am not a US person or a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and / or residency	Signature
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Document Proof submitted (Pls tick document being submitted)

Passport
 Election Id Card
 PAN Card
 Driving License
 UIDAI Letter
 NREGA Job Card
 Govt. Issued ID Card

Note

The term United States person means:

- (a) an individual, being a citizen or resident of the United States of America;
- (b) partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
- (c) a trust if: i. a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and ii. one or more U.S. persons have the authority to control all substantial decisions of the trust;
- (d) an estate of a decedent who was a citizen or resident of the United States of America

Functional Equivalent of TIN includes the following:

A social security / insurance number, citizen / personal identification /services code/national identification number, a resident / population registration number, Alien card number, etc.

a) City of Birth

b) Address In The Jurisdiction Details Where Applicant Is Resident Outside India For Tax Purposes*

Same as Current / Permanent / Overseas Address details
 Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3

State* ZIP / Post Code* City / Town / Village* ISO 3166 Country Code*

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	CH
Chattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Haryana	HR

State / U.T	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State / U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarakhand	UA
West Bengal	WB
Other	XX

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		