

Application Form No. :

(For Head Office use only)

Client ID :

Date (DD/MM/YYYY) :

(For Branch use only)

SDO Code :

SDO Name :

Indoc No. :

Scheme Code :

Demat Account Opening Form

(For Resident Corporates)

Type of Account (Please specify the category correctly, change in category not allowed)					
<input type="checkbox"/> Corporate	<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> HUF	<input type="checkbox"/> FI	<input type="checkbox"/> FII
<input type="checkbox"/> OCB-Repatriable	<input type="checkbox"/> OCB-Non Repatriable	<input type="checkbox"/> Others _____ <small>(please specify)</small>			

Trust - Sub types			
<input type="checkbox"/> Employee Stock Purchase	<input type="checkbox"/> Stock Appreciation Right	<input type="checkbox"/> General Employee Benefit Scheme	<input type="checkbox"/> Retirement Benefit Scheme
<input type="checkbox"/> Other - Employee Benefit Trust (Old Scheme)	<input type="checkbox"/> Other	<input type="checkbox"/> Employee Stock Option	

Experience convenience with ICICI Bank

- **Corporate Benefit Tracking:** Track your dividend, interest, bonus through your account statement.
- **Mobile Alerts:** Receive SMS alerts for all debits/credits as well as for any request which cannot be processed.
- **Mobile Request:** Access your demat account by sending SMS to enquire about Holdings, Transactions, Bill & ISIN details.
- **Digitally Signed Statement:** Receive your account statement and bill by email.



ICICI Bank Ltd. DP ID - IN300183DP ID - IN302679DP ID - IN302902DP ID - IN303028

ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate,
Chandivali Farm Road, Opp. MHADA Colony, Chandivali, Andheri (East), Mumbai - 400072.

CHECK LIST

Please tick the relevant boxes.

Title	Signature of (C - Clients(s), N - Nominee, W - Witness(es) G - Guardian)	Page No	Check to be made	Please tick
1. Signatures				
• Direct Debit Mandate (only for ICICI Bank account holder(s))	C 1	Pg. No. 5	Signature of ICICI Bank a/c. holder (s)	<input type="checkbox"/>
• Signature across the photograph of authorised signatories	C 2	Pg. No. 6	Signature of all authorised signatories	<input type="checkbox"/>
• Declaration (we are registering this signature in our record, hence, please be careful)	C 3	Pg. No. 6	Signature of all authorised signatories	<input type="checkbox"/>
• Acknowledgement of Rights Et Obligation	C 4	Pg. No. 11	Signature of all authorised signatories	<input type="checkbox"/>
• Letter of confirmation	C 5	Pg. No. 7	Signature of all authorised signatories	<input type="checkbox"/>
• Request for receipt of statement of transaction through Email	C 6	Pg. No. 8	Signature of all authorised signatories	<input type="checkbox"/>
• Schedule of charges	C 7	Pg. No. 10	Signature of all authorised signatories	<input type="checkbox"/>
• FATCA/CRS Declaration	C 8	Pg. No. 16	Signature of all authorised signatories	<input type="checkbox"/>
• Self-Certification Form (Entity) for FATCA & CRS	C 9	Pg. No. 20	Signature of all authorised signatories	<input type="checkbox"/>
• Aadhaar Consent	C 10	Pg. No. 27	Signature of all authorised signatories	<input type="checkbox"/>
• Introduction by an existing ICICI Bank Demat account holder or by Applicant's Bank (Mandatory)	I 1	Pg. No. 6	Signature of Introducer or by applicant's Bank	<input type="checkbox"/>
2. Enclosures				
• Duly verified copies of Address Proof* (both Registered and Correspondence/Foreign)			As per option listed Inside	<input type="checkbox"/>
• Verification by Banker of the signatures of Authorised Signatories*			As per option listed Inside	<input type="checkbox"/>
• Duly certified copies of Memorandum Et Articles of Association/Trust Deed/Bye-Laws along with certified copy of Certificate of Incorporation/Registration Certificate*				<input type="checkbox"/>
• List of authorised signatories, their specimen signatures photographs*				<input type="checkbox"/>
• Board/Trust Resolution for opening of Demat Account* (with specific Mode of operation)				<input type="checkbox"/>
• Duly verified copy of PAN Card of the company*		Pg. No. 4		<input type="checkbox"/>
• Cancelled copy of Cheque of non ICICI Bank account specified (ECS mandate to ne provided on Page 4)		Pg. No. 5		<input type="checkbox"/>
• Certified copy of Power of Attorney (POA) and Identity & Address proofs of POA holder(s)* (if applicable)				<input type="checkbox"/>
• Duly verified copies of MAPIN ID, if the client has specified the MAPIN details*		Pg. No. 4		<input type="checkbox"/>
• Duly verified copy of HUF PAN Card or HUF agreement (if applicable)*				<input type="checkbox"/>
• Declaration form for HUF* (if applicable)				<input type="checkbox"/>
3. Photographs (all the photographs should be pasted, do not staple)				
• Photographs of authorised signatories				<input type="checkbox"/>
• Photographs of POA holders (in case of POA only)		Pg. No. 6		<input type="checkbox"/>
*Self attestation by the client to be obtained on all pages of photocopies of the documents.				
4. Other Important mandatory checks <ul style="list-style-type: none"> • Bank Details are complete. • Separate cheque for each account opening form. • In the schedule of charges, please ensure that the rate card chosen by the customer is correctly ticked. Further special waivers (if any) such as HNI or Super HNI etc should be mentioned on the cover page enclosed with necessary approval respectively. • Name mentioned on all the documents and on the form (Page No. 3,4,5,6 & 8) is legible and is the same every where. • Avoid writing "Same as above" in the address fields. • The client(s) should not use more than one specimen signature and the same should be uniform across the form. If any attestation in the signature the Branch management attestation is required with stamp. • Branch official should not sign and should not affix the round stamp on the agreement page no. 6 as Authorised Signatory for ICICI Bank Ltd. • Branch official should give 'KYC Certification' on Page No. 8 • The demat account opening form should be filled in completely in all respects. • Telephone no. and Fax no. should be mentioned with the STD codes. • Authorised Signatories should affix their signatures alongwith Companies Rubber stamp. • In case of OCBs/Foreign Bodies approval required from (a) RBI or (b) FIPB or (c) FDI or (d) Ministry of Commerce & Industries • Client's signature appearing on the proofs should tally with the signatures on the demat account opening form. • Branch official should affix a stamp as 'PAN Verified' on all the photocopies of PAN cards. • PAN number to be checked on the Income Tax Department web site (http://incometaxindiaefiling.gov.in/challan/enterpanforchallan.jsp) for name checking of the Company/Authorised Signatories. • In case of HUE, the Karta has to sign along with rubber stamp of HUR 				
5. Checklist verified :				
ICICI bank personal name _____			Signature _____	

Please send duly filled account opening form with supporting documents to CLOG CPC-AOT, ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate, Chandivali Farm Road, Opp. Mhada Colony, Chandivali, Andheri (East), Mumbai - 400072.

For office use only

Application Type* New Update Delete

(To be filled by financial institution) KYC Number

(Mandatory for KYC update and delete request)

DETAILS OF RELATED PERSON*

Addition of Related Person

Deletion of Related Person

Update Related Person Details

KYC Number of Related Person (if available*)

IF KYC number is available, only 'Related Person Type' & 'Name' is mandatory

Related Person Type*

Director

Promoter

Karta

Trustee

Partner

Court Appointment Official

Proprietor

Beneficiary

Authorised Signatory

Beneficial Owner

Power of Attorney Holder

Other (Please specify)

DIN (Director Identification Number)

(Mandatory if Related Person Type is Director)

ATTESTATION / FOR OFFICE USE ONLY

Documents Received

Certified Copies

E-KYC data received from UIDAI

Data received from offline verification

Digital KYC process

Equivalent e-document

KYC VERIFICATION CARRIED OUT BY

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

(Employee Signature)

INSTITUTION DETAILS

Name

Code

(Institution Stamp)

SECTION A : PERSONAL DETAILS

INSTRUCTION : 1. Please fill all the details in **CAPITAL LETTERS** only

2. Please verify with checklist on page 2.

3. Strike off whichever is not applicable.

I/We request you to open a Demat Account in my / our name as per following details:

Date

Sole/First Holder's Details or Name of HUF (in case of HUF)

(The name mentioned here will be treated as valid & correct. No claims will be entertained later for any disputes arising out of names spelled wrongly.)

Full Name <small>(Please fill in your name correctly, Name once captured cannot be changed.)</small>	<input type="text"/>				
Permanent Address <small>(Mandatory)</small>	Building / Tower / Apartment / Place / Chamber / Mansion Street / Road / Marg / Lane / Avenue / Rasta City Pin Code (Mandatory) <input type="text"/>				
Correspondence Address	Building / Tower / Apartment / Place / Chamber / Mansion Street / Road / Marg / Lane / Avenue / Rasta City Pin Code (Mandatory) <input type="text"/>				
Preferred Mailing Address	<input type="checkbox"/> Permanent Address <input type="checkbox"/> Correspondence Address		<small>(By default the communication / corporate benefit shall be sent to Permanent Address)</small>		
MAPIN ID (Optional)	<small>(Please provide a photocopy of MAPIN ID)</small>				
Telephone No. <small>(With STD Code)</small>	<input type="text"/>	Fax Number <small>(With STD Code)</small>	<input type="text"/>		
Mobile No.	<input type="text"/>	SMS Alert Facility <small>(If not ticked, assumed 'Yes')</small>	<input type="checkbox"/> Yes <input type="checkbox"/> No	<small>(Mandatory if A/C is operated by Power of Attorney)</small>	
PAN No.	<input type="text"/>	Date of Birth	<input type="text"/>		
Email Address	<input type="text"/>				
Income Details	(Please tick(✓)): <input type="checkbox"/> Below 20Lac <input type="checkbox"/> 20-50 Lac <input type="checkbox"/> 50 - 1crore <input type="checkbox"/> 50 - 1crore & Above 1 crore OR Net-worth in ₹ <small>(*Net worth should not be older than 1 Year)</small> as on (date) <input type="text"/>				
Please tick, if applicable for any of your authorised signatories/Promoters/Partners/Karta/Trustees/whole time directors	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)				
*GST Registered	<input type="checkbox"/> Yes <input type="checkbox"/> No <small>(If GST Registered is selected as YES, then GSTIN is mandatory along with a copy of the GST registration certificate)</small>				
*GSTIN	<input type="text"/>				
*Status of GST	<input type="checkbox"/> General <input type="checkbox"/> SEZ <input type="checkbox"/> Embassy <input type="checkbox"/> Government Department <small>(if the status is Embassy /Government department, then please provide : *Unique Identification Number (UIN): <input type="text"/></small>				

Please specify e-mail address if you want to receive Account Statements and other communications by e-mail & please ensure correctness of the same.

Other Holder Details

Second Holder's Full Name <small>(Please fill in your name correctly, Name once captured cannot be changed.)</small>	<input type="text"/>				
Address	Building / Tower / Apartment / Place / Chamber / Mansion Street / Road / Marg / Lane / Avenue / Rasta City Pin Code (Mandatory) <input type="text"/>				
MAPIN ID (Optional)	<small>(Please provide a photocopy of MAPIN ID)</small>				
Telephone No. <small>(With STD Code)</small>	<input type="text"/>	Fax Number <small>(With STD Code)</small>	<input type="text"/>		
Mobile No.	<input type="text"/>	SMS Alert Facility <small>(If not ticked, assumed 'Yes')</small>	<input type="checkbox"/> Yes <input type="checkbox"/> No	<small>(Mandatory if A/C is operated by Power of Attorney)</small>	
PAN No.	<input type="text"/>	Date of Birth	<input type="text"/>		
Email Address	<input type="text"/>				
Third Holder's Full Name <small>(Please fill in your name correctly, Name once captured cannot be changed.)</small>	<input type="text"/>				
Address	Building / Tower / Apartment / Place / Chamber / Mansion Street / Road / Marg / Lane / Avenue / Rasta City Pin Code (Mandatory) <input type="text"/>				
MAPIN ID (Optional)	<small>(Please provide a photocopy of MAPIN ID)</small>				
Telephone No. <small>(With STD Code)</small>	<input type="text"/>	Fax Number <small>(With STD Code)</small>	<input type="text"/>		
Mobile No.	<input type="text"/>	SMS Alert Facility <small>(If not ticked, assumed 'Yes')</small>	<input type="checkbox"/> Yes <input type="checkbox"/> No	<small>(Mandatory if A/C is operated by Power of Attorney)</small>	
PAN No.	<input type="text"/>	Date of Birth	<input type="text"/>		
Email Address	<input type="text"/>				

List of family members (Separate Annexure maybe used in case number of members in higher)					
Sr No.	Name of Coparcener/Member	Gender	Date of Birth	Relation with Karta	Whether Coparcener/ Member (Please specify)

For Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the partner(s), trustee(s) etc., the name & PAN of the Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:

Name	PAN
------	-----

In case of Fils/Others (as may be applicable)

RBI Approval Reference Number	
RBI Approval date	
SEBI Registration No. (for fils)	

Standing Instructions

I/We authorise you to receive credits automatically into my/our account ^.

^ If not ticked it will be assumed Yes by default

Yes No

Electronic Clearing Service / Direct Debit Mandate and Credit Mandate / Bank Details for receiving dividend, interest, IPO Credits etc.

- It is mandatory to provide complete details required below. In absence of complete details, the form may be rejected.
- The bank account will be used for disbursing dividends / interest and may also be used for recovering all DP charges in respect of the account. Please provide correct details to avoid errors while doing the same.
- For Debit of Demat charges, ICICI Bank Account is Mandatory.
- ECS Mode of recovery will be used at the discretion of ICICI Bank. The mode of payment will be indicated in the bill.

1. Name(s) of Bank Account Holder(s)	
2. Account Type	<input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Others (Please Specify)
3. Ledger No./Ledger Folio No. (if applicable)	
4. Account Number	
5. Particulars of Bank Account	A. Bank Name B. Bank Branch
6. Branch Address	Building / Tower / Apartment / Phase / Chawthi / Menway Street / Road / Marg / Lane / Avenue / Vista Area City State Country Pin Code (Mandatory)
7. 9-Digit Code Number of the Bank & Branch Appearing on the MICR Cheque issued by the Bank (The second number appearing at the bottom of the cheque). (Mandatory)	
8. IFSC	

(Please attach a cancelled cheque carrying above mentioned bank details. Clients mentioning ICICI Bank Account details in point no 4 & 5 need not attach a cancelled cheque.)

Bank Account details for Debit of Demat charges:

ICICI Bank Account no

Account Type

To,

ICICI Bank Ltd. (Applicable only if Bank mentioned in pt. 5 above is ICICI Bank Ltd.)

I/We hereby also authorise the Bank to debit all charges in respect of the Demat Account payable by me/us to the above mentioned account. I/We undertake that sufficient balances shall be maintained by me/us and shall in no any way impair the right of the Bank to debit the Service Charges. I/We hereby further authorise the Bank to charge interest, at the prevailing commercial rate, on overdrawn balances in the Said Account(s) due to the debiting of Services Charges.

The Bank shall not be obliged to provide overdraft facility on the Said Account except those arising out of debit of Service Charges payable by me/us. I/We hereby undertake to remit the amount of debit plus the interest within 15 days of being notified about the same.

I/We also authorise the Bank to arrange to exercise a lien over the dematerialised shares till the dues are remitted in full by me/us.

Banker's Lien and Set-Off: ICICI Bank shall have the paramount right of set-off and lien, irrespective of any other lien or charge, present as well as future, on all the deposits held/ balances lying in any Account of the Customer, whether in single name or joint name(s), to the extent of all amounts payable by the Customer arising as a result of any of ICICI Bank's services extended to and/or used by the Customer or as a result of any other facilities that may be granted by ICICI Bank to the Customer. ICICI Bank is entitled without any notice to the Customer to settle any indebtedness whatsoever owed by the Customer to ICICI Bank, whether actual or contingent, or whether primary or collateral, or whether joint and/or several, including without limitation indebtedness under any indemnity given by the Customer to ICICI Bank hereunder or under any other document/ agreement, by adjusting, setting-off any deposit(s) and transferring monies lying to the balance of any Account(s) held by the Customer with ICICI Bank, notwithstanding that the deposit(s)/ balances lying in such Account(s) may not be expressed in the same currency as such indebtedness. ICICI Bank's rights hereunder shall not be affected by the Customer's bankruptcy, insolvency, death or winding-up.

I/We hereby undertake not to revoke this authority without the written approval from the Bank. I/We hereby specifically agree and confirm that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India.

I, hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date:

Signature of Authorised Signatory 1 in Bank Account

Signature of Authorised Signatory 2 in Bank Account

Signature of Authorised Signatory 3 in Bank Account

Bankers Verification for Standing Instructions (Required only in case bank account mentioned is not ICICI Bank account)

Standing instructions accepted by us and the Bank A/C No. & signature of a/c holder has been verified.

Name of Authorised Signatory of Bank :

Date :

Signature of Authorised Signatory of Bank with Bank Seal

Note: As per SEBI, ECS Mandate is mandatory for receiving refunds for public issues.

Account to be operated through Power of Attorney (POA)

Yes No

(If Yes provide true certified copy along with photo signed across by POA Holder)

Photograph of Authorised Signatories

Signature of Authorised First Signatory/Karta of HUF	Signature of Authorised Second Signatory	Signature of Authorised Third Signatory	Signature of Authorised Fourth Signatory	Signature of Authorised Fifth Signatory
Please paste your photograph here	Please paste your photograph here	Please paste your photograph here	Please paste your photograph here	Please paste your photograph here

X Sign across the photograph X Sign across the photograph X Sign across the photograph X Sign across the photograph X Sign across the photograph

Documentary Proof of Corporate Address (Tick below) (Should be verified by the official at Branch/Centre)

Proof of address PAN Card with Address (Mandatory) Bank Statement / Pass book with multiple pages having latest entry details
 Bank Letter

Declaration

I/We declare that all the particulars and information given in the application are true, correct, complete and upto date in all respects and I/We have not withheld any information. I/We agree and confirm that I/we have read the terms and conditions of the account facility, and the terms and conditions pertaining to usage of channels for access of the said account facility as put up on www.icicibank and am/are aware of and will adhere to all the terms/conditions of opening/maintaining of the said account and usage of the channels with/by ICICI Bank Ltd as may be in force from time to time. I/We further declare that the rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case nonresident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, " Rights and Obligations of the Beneficial Owner and Depository Participant. I/We understand that certain particulars given by me/us are required by the operational guidelines governing banking companies. I/We understand that ICICI Bank Ltd/ Group Companies/ Agents reserve the right to reject any application without providing any reason. I/We further declare and confirm that the credit facilities, if any, enjoyed by me/us with other banks has been disclosed here in above. I/We authorize ICICI Bank Ltd./its Group Companies or their agents to make references and enquiries in respect of the information required by the application form which ICICI Bank Ltd./ its Group Companies Agents consider necessary. I/We undertake to inform ICICI Bank Ltd./ Group Companies/ Agents regarding change in my/our residence / employment and to provide any further information that ICICI Bank Ltd./ Group Companies/ Agents may require. I/We authorize ICICI Bank Ltd./ its Group Companies and their agents to exchange, share or part with all the information relating to my/our application and transaction information to other ICICI Group Companies/ Banks/ Financial Institutions/ Credit Bureaus/ Statutory Bodies and shall not hold ICICI Bank Ltd/ its Group companies and their agents or other persons to whom such information is disclosed liable for the disclosure or use of such information. We, the joint applicants hereby confirm that we have instructed and authorised the first applicant to view/access the information on the said account for and on behalf of all of the undersigned and under our specific instructions as stated in this letter. We hereby state that should we wish to revoke the above authorisation, we shall duly issue a letter of revocation to ICICI Bank in this regard. We hereby agree that until ten days after ICICI Bank receives such letter revoking the above mandate, the authorization as aforesaid shall hold good. I/We hereby declare that above given information of GSTIN with respect to the number and billing address is correct and Bank can update the same in its records. I/ We hereby declare that the information provided can be used by ICICI Bank for the purposes of charging GST and reporting of transactions on the GSTN portal and for other related aspects in relation to reporting as stated under GST Act and applicable rules in this regard. I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date:

Place: _____

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories. In case of HUF, details of Karta to be given)

Sole/First Holder	Name	Signature(s)
		Company Seal/Rubber Stamp should be affixed <input checked="" type="checkbox"/>
Signature of Authorised First Signatory/Karta of HUF		X
Signature of Authorised Second Signatory		X
Signature of Authorised Third Signatory		X
Signature of Authorised Fourth Signatory		X
Signature of Authorised Fifth Signatory		X

- In case of additional signatures, separate annexures should be attached to the application form.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.

Mode of Operation for Sole/First Holder (In case of joint holdings, all the holders must sign. In case of HUF, this is not applicable)

Any one singly Jointly by Others (please specify) _____
 As per resolution _____

INTRODUCTION (Mandatory)

(By an existing ICICI Bank Demat Account Holder / Applicant's Bank)

DP ID: _____ Client ID:

I _____ hereby confirm the identity and address of the applicant(s),
 (Name of Introducer / Applicant's Bank Official)

X
 Signature of Introducer / Applicant's Bank Official

Applicant's Bank Seal

X

*Name of Verifying ICICI Bank Official

*Signature of Verifying ICICI Bank Official

*Branch Seal

(*In case of introduction given by the existing ICICI Bank Demat Account Holder)

SECTION C : LETTER OF CONFIRMATION

ICICI Bank Limited

MUMBAI.

Dear Sirs,

Sub: Opening of an Account for holding Dematerialised Securities

I/We refer to my/our application for opening of a dematerialised securities account ("DP account") with ICICI Bank Limited (hereinafter called "ICICI Bank")

We hereby agree and confirm that:

1. ICICI Bank as Depository Participant (DP) will not be liable to us for any action taken or authorised to be taken under the Rights & Obligations of Beneficial Owner and Depository Participant prescribed by SEBI & Depositories for any claim, loss, damages or expenses arising in connection with any such action or omission except in so far as the same results from bad faith, willful default or negligence on the part of ICICI Bank.
2. ICICI Bank as DP will not be liable or responsible for the loss or damages arising on account of any natural calamities or on account of malicious damages caused on account of any strike, civil commotion, riots, war, war like events or circumstances beyond the control of ICICI Bank.
3. ICICI Bank as DP will not be responsible for the title, validity or genuineness of any securities which have been dematerialised and notified subsequently by the Registrars of any defects in title/validity which has resulted in reduction of the dematerialised holdings of the client and the consequences thereon.
4. I/We hereby agree to hold ICICI Bank harmless against all actions, proceedings, claims and demands, cost and expenses incidental thereto to which may be brought against, suffered or incurred by ICICI Bank as Depository Participant by reason of all acts done by it pursuant to the provisions of the Rights & Obligations of Beneficial Owner and Depository Participant prescribed by SEBI & Depositories including any action or omission under taken in compliance with any instructions received by ICICI Bank which ICICI Bank believes in good faith to have been given by me/us and make good the losses incurred by ICICI Bank on all legal, professional and other expenses incurred by ICICI Bank.
5. I/We undertake to send ICICI Bank instructions relating to the transfer of securities latest by 4p.m. on business day (excluding Saturday, Sunday and bank holidays) prior to the execution date indicated by me/us in the debit instruction. We note that the instructions received by ICICI Bank after this, will be carried out and updated only on "Best Effort" basis. ICICI Bank is not liable for any losses and arising out of TIFDs accepted on the "Best Effort" basis.
6. ICICI Bank will not be responsible for any failure as a result of non receipt or receipt of incomplete/erroneous instructions though received within the stipulated time.
7. All instructions will be signed by me/us or by an authorised person on my/our behalf whose signature has been lodged with ICICI Bank along with relevant documents as required by ICICI Bank. I/We shall inform ICICI Bank about the changes in the list of authorised persons and their specimen signatures from time to time.
8. I/We am/are agreeable to pay the fees for the services rendered by ICICI Bank as per Section E/tariff sheets signed by me. I/We hereby authorise and instruct ICICI Bank to debit the bank account to be notified by me/us for the fees and other charges and undertake to ensure that adequate balance is made available in the bank account. I/We further confirm that, thesecurities held in my/our DP account are subject to alien/right of set off in favour of ICICI Bank for the claims of monies payable to ICICI Bank.
9. I/We hereby agree, confirm and understand that ICICI Bank reserves the right to revise the terms and conditions or rules and procedures pertaining to the DP account and that such revised terms and conditions shall then be binding on me/us.
10. The particulars given and declarations made by me/us in the account opening form, other declarations and in this letter are true as on the date here of and any changes will be informed to you immediately. I/We further confirm that ICICI Bank is not liable and responsible for any incorrect information given to ICICI Bank nor for any false declaration furnished to ICICI Bank and the consequential effects thereon.
11. I/We have read and understood the rules and regulations pertaining to the Depository and Depository Participants in connection with opening and operating of DP accounts.
12. I/We authorise ICICI Bank to issue/re-issue a TIFD booklet through Post or Courier or as per your standard practice. The Courier charges will be debited in my/our account.
13. (a) I/We hereby authorise ICICI Bank, to claim from my/our bank account mentioned under "Electronic Clearing Service (Debit Clearing) Mandate/ Direct Debit Mandate and Bank details for receiving dividend/interest", the charges in respect of the Demat Account.
I/We further confirm that:
i) I/We am/are agreeable to participate in Electronic Clearing Services (Debit Clearing) of RBI and enclose the Mandate Form.
ii) Therelevant Bank has been authorised to debit my/our designated bank account with charges relating to my demat account with ICICI Bank and remit the proceeds to ICICI Bank in the manner advised by ICICI Bank from time to time. ICICI Bank may forward a copy of the authorisation to the relevant Bank.
b) I/We hereby undertake not to revoke the standing instruction given to my relevant Bank with regard to my designated bank account for the above without the written approval from ICICI Bank or after complying with clause 'd' below.
c) We will ensure that sufficient balance will be maintained in the said bank account for meeting the dues.
d) I/We will provide fresh authorisation/instruction for claiming ICICI Bank dues from the new bank account in the event of our closing this designated bank account. I/We will provide fresh authorisation to ICICI Bank at least one month prior to our closing the account.
14. I/We have agreed to ICICI Bank for accepting any facsimile (fax) instructions. I/We confirm that ICICI Bank as DP shall not be liable for any losses or damages which I/We may suffer as a consequence of ICICI Bank as DP acting in accordance with or in reliance upon, any fax instructions. I/We hereby agree that I/We shall indemnify the DP and keep ICICI Bank as DP indemnified and saved harmless, at all times against any claims, losses, damages, in connection with or arising out of or in relation to any fax submission.
15. I/We further confirm that the above confirmation are in addition to those confirmation given by me/us in the account opening form by me/us for opening the DP account.
16. I/We have been provided with the Rights and Obligations document prescribed by SEBI and hereby confirm that I have read, understood and agree to abide by the terms of the said Rights and Obligation document and understand that the Rights and Obligations document is legally binding on me/us.
17. I/we understand that this letter of confirmation is subject to the Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository that may be in force from time to time.
18. We understand and acknowledge that ICICI Bank Limited ("ICICI Bank") and its subsidiaries and Affiliates (collectively referred to as "Group companies") are engaged, inter alia, in providing banking services, asset/portfolio management, insurance, custodial and depository participant services, securities trading, securities brokerage, as well as providing investment banking (including merchant banking and banker to an issue), and financial advisory services etc. Further, we also acknowledge that ICICI Bank and its Group companies are engaged in distribution of third party products including products of Group companies, and may hold proprietary positions in various products, subscribe on own accounting issues/offers and avail on their own account various services offered by ICICI Bank and its Group companies under different capacities. ICICI Bank shall ensure fair, unbiased and objective treatment of all its clients and observe high standards of integrity in the conduct of their business in any situation of conflict of interest, whether potential/possible or actual. In furtherance of the same we here by confirm that ICICI Bank shall, at all times, comply with provisions of applicable laws, and such other rules, regulations, policies, guidelines as may be prescribed by the Securities and Exchange Board of India or such other regulatory or statutory authority from time to time. ICICI Bank has in place appropriate processes, controls and code of conduct for it's personnel governing fair practice and conflict of interest.

In confirmation of the above,

C₂ X

Signature of Authorised Signatory 1
in Bank Account/Karta of HUF

X

Signature of Authorised Signatory 2
in Bank Account

X

Signature of Authorised Signatory 3
in Bank Account

Place:

Date :

REQUEST FOR RECEIPT OF STATEMENT OF TRANSACTIONS

I wish to receive Statement of Transaction through E-mail / Electronic form


I wish to receive Statement of Transaction through Physical form

E-mail address:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Terms & Conditions

1. **Definitions:** In this document the following words and phrases shall have the meanings as set below unless the context indicates otherwise:
 "Account(s)" or "Accounts" or "Account" refers to the Client's depository account(s) maintained with ICICI Bank.
 "Client(s)" means refers to a customer of ICICI Bank maintaining a depository account with ICICI Bank and availing of the Services (herein below defined). "Website" refers to the website owned, established and maintained by ICICI Bank located at the URL www.icicibank.com.
2. The Client may subscribe/request for receiving the transaction statements of the Account with ICICI Bank through electronic medium such as e-mail or website (here in after referred to as the "Services"). These Terms and Conditions (hereinafter referred to as the "Terms") form the contract between the Client and ICICI Bank for availing the said Services. The Client shall apply to ICICI Bank in the prescribed form for use of the said Services. By applying for or availing of the said Services, the Client acknowledges, accepts and agrees to these Terms.
3. ICICI Bank shall endeavour to provide to the Client, through electronic medium such as e-mail or website the transaction statements pertaining to the Client's account. The electronic delivery may be in the form of an electronic mail or an attachment to the electronic mail or in any other form as decided by ICICI Bank and intimated to the Client.
4. ICICI Bank would be deemed to have fulfilled its legal obligations to deliver to the Client the transaction statements if such transaction statements are sent through electronic means at the email address provided by the Client to ICICI Bank. Failure on the part of the Client to advise ICICI Bank of any difficulty in opening any transaction statement so delivered within twenty-four (24) hours after delivery by ICICI Bank shall serve as an affirmation regarding the acceptance of such transaction statement.
5. The Client agrees not to receive transaction statements in a physical form from ICICI Bank once the Client has agreed to avail such Services. However, notwithstanding the above, the Client will receive the annual transaction statement in physical form. In the event ICICI Bank is unable to provide transaction statements through an electronic medium due to any unforeseen problems and/or temporary technological lapses/failure, ICICI Bank shall ensure that the transaction statement reaches the Client in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of Regulator in case of BSDA, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.
6. In the event the Client selects to avail the Services, the Client shall immediately inform ICICI Bank about any change in his/her/its email address as provided earlier to ICICI Bank.
7. ICICI Bank does not provide any warranty and makes no representation whatsoever about the accuracy, authenticity and/or completeness of the transaction statements provided through electronic medium. While ICICI Bank shall endeavour to provide the transaction statement as requested by the Client promptly, ICICI Bank shall not be responsible for any non-response or delay in providing the transaction statements to the Client due to any reason whatsoever, including but not limited to, due to failure of operational systems or any requirement of law.
8. The Client will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the Internet/email account.
9. The Client is aware that the transaction statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
10. The Client accepts full responsibility for the monitoring and safeguarding of the Client's accounts with ICICI Bank. The Client shall immediately notify ICICI Bank in writing, delivered via e-mail and/or Registered AD: (a) If the Client becomes aware of any loss, theft or unauthorised use of the passwords or digital signatures of the Client, as the case may be and the Client's account number; or (b) Any inaccurate information in the account balances, investment products positions, or transaction history.
11. If the Client fails to notify ICICI Bank immediately upon the Client's knowledge about the time when any of the above conditions (as mentioned in clause 10) occur, neither ICICI Bank nor any of its officers, directors, employees, agents, affiliates or subsidiaries can or will have any responsibility or liability to the Client or to any other person whose claim may arise through the Client for any claims including but not limited to claims arising with respect to the handling, mishandling or loss of any order. Under no circumstances, including negligence, shall ICICI Bank or anyone involved in creating, producing, delivering or managing the Services for ICICI Bank be liable for any direct, indirect, incidental, special or consequential damages that result from the use of or inability to use the Services, or out of any breach of any warranty.
12. Both ICICI Bank and the Client have the right to terminate such Services provided a written notice is given atleast 10 (ten) days in advance to the other party.
13. ICICI Bank reserves the discretion to revise/modify/alter the Terms herein.
14. All disputes arising out of or in relation to this terms and conditions shall be governed by the laws of India and shall be subject to the exclusive jurisdiction of the competent courts at Mumbai.
15. Not with standing any thing contained here in, all terms and conditions stipulated by ICICI Bank pertaining to the Account(s) and/or to any services/facilities offered by ICICI Bank, shall continue to be applicable to the Client. The above terms are in addition to and not in contravention of the terms and conditions forming part of the Rights & Obligations document and the "LETTER OF CONFIRMATION" signed by the Client at the time of opening the account with ICICI Bank and the terms and conditions relating to any Account(s) of the Client and/or to those relating to services/facilities offered by ICICI Bank and availed by the Client. However, in the event of a conflict in such other terms and conditions stipulated by ICICI Bank, Rights and Obligations document, Letter of Confirmation and the Terms herein, these Terms shall have an overriding effect to the extent of such conflict. The Client agrees that in the event the Client avails of any services/facilities offered by ICICI Bank through the Website, the Client shall be bound by all the terms and conditions stipulated by ICICI Bank pertaining to such services/facilities, offered by ICICI Bank and availed by the Client through the Website.

 X

 Signature of Authorised Signatory 1
 in Bank Account/Karta of HUF.

X

 Signature of Authorised Signatory 2
 in Bank Account.

X

 Signature of Authorised Signatory 3
 in Bank Account

**REVISED DEPOSITORY SERVICE CHARGES FOR RESIDENT RETAIL CUSTOMERS & CORPORATES
(EFFECTIVE FROM FEBRUARY 1, 2021)**

DESCRIPTION OF CHARGES	REGULAR ACCOUNT
Account Opening	Nil
*Annual Maintenance Charges	₹ 700
Buy – Market and Off-Market	Nil Nil for Trades done at www.icicidirect.com
* Sell – Market and Off-Market and Redemption of MF units (% of transaction value of each ISIN) – Max. ₹ 500 for debt instruments <ul style="list-style-type: none"> • Instruction submitted through Internet / E-instruction • Instruction submitted through Call Centre • Instruction submitted at Branches 	<ul style="list-style-type: none"> • 0.04% of value of securities (Min. ₹30 and Max ₹25,000) • 0.04% of value of securities (Min. ₹35 and Max ₹25,000) • 0.04% of value of securities (Min. ₹40 and Max ₹25,000) • Nil for Trades done at www.icicidirect.com
Rejection/failure of Transfer Instruction For Delivery (TIFD)	₹ 30
Extra charges for processing of TIFDs submitted after the cut-off timing (% of transaction value) <ul style="list-style-type: none"> • Instruction submitted through Internet / E-instruction • Instruction submitted through Call Centre • Instruction submitted at Branches (accepted at Client's risk) 	<ul style="list-style-type: none"> • Nil • Nil • ₹10 per ISIN
Dematerialisation	
For each request form	₹ 50
Extra for each certificate	₹ 3
Demat Rejection	
For each request form	₹ 50
Rematerialisation	A fee of ₹ 25 for every hundred securities or part thereof subject to maximum fee of ₹ 3,00,000; or a flat fee of ₹ 25 per certificate, whichever is higher.
Reconversion of MF units	₹ 25 Per Instruction
Closure of Account	Nil
Pledge Creation / Closure / Confirmation / Invocation (% of value for each ISIN in each request) <ul style="list-style-type: none"> • If, ICICI Bank is counter party • If, ICICI Bank is not counter party 	<ul style="list-style-type: none"> • 0.02% (Min. ₹ 35 and Max ₹25,000) • 0.04% (Min. ₹ 45 and Max ₹25,000)
* Margin Pledge charges <ul style="list-style-type: none"> • if Margin Pledge/Margin Trade funding instruction is submitted at the Branch • if Margin Pledge/Margin Trade funding is done on www.icicidirect.com 	<p align="center">₹ 15</p> <p align="center">Nil</p>
Additional Account Statements	₹ 20
* Reissuance of Delivery Instruction Slip (DIS) Booklet charge	₹ 50 per booklet

NSDL charges are chargeable extra at actuals. Present NSDL Charges are:

Annual Maintenance Fee for Corporate Account	₹ 500 p.a. (W.e.f. 01.Oct.2013)
Sell - Market and Off-Market	₹ 5.00 per debit instruction (nil for commercial paper and short-term debt instruments)
Reconversion of MF units into SoA	₹ 10.00 per instruction
Margin Pledge charges	
Margin Pledge initiation from client account to Trading Member	₹ 5.00 per instruction
Re-Pledge from Trading Member account to Clearing Member account	₹ 1.00 per instruction
Re-Pledge from Clearing Member account to Clearing Corporation account	₹ 1.00 per instruction
Re-Pledge release by Clearing Member	₹ 1.00 per instruction
Re-Pledge release by Trading Member	₹ 5.00 per instruction
Invocation by Clearing Member or Trading Member	₹ 5.00 per instruction
(No fee for re-pledge release / invocation by Clearing Corporation)	
Redemption of MF units through Participants	₹ 4.50 per instruction
Remat	A fee of ₹ 10 for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000; or a flat fee of ₹ 10 per certificate, whichever is higher.
Pledge Creation/MTF Pledge Creation	₹ 25 per instruction

Conditions:

- The value of securities and charges are calculated as per NSDL formula and rates.
- There will be a charge of ₹100 for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable for discontinuation, if ICICI Bank is unable to recover charges from the customer for any reason whatsoever. In such cases there will be a charge of ₹250 for resumption of services and the services will be resumed after a minimum of three working days from the date of receipt of request at Central Processing Office, Mumbai.
- Any service that is not indicated above will be charged separately as per the rates applicable from time to time.
- As per regulatory guidelines, if you close/shift your Demat account, the portion of the advance Annual Maintenance Charge applicable to the balance quarter(s) will be refunded. This is with effect from July 1, 2010.
- ICICI Bank reserves the right to revise the tariff structure from time to time and the same will be communicated to the customers with a notice of 30 days.
- No charges are payable for opening of Demat accounts.
- Goods & Service Tax as per the prevailing rates will be charged in addition to these charges.
- Effective July 01, 2020 Stamp duty charges would be collected on consideration amount of Off-Market transfer and from pledgee for Pledge invocation request, before execution of request.

- * The Annual Maintenance Charges are levied in advance for a period of one year at the beginning of the billing cycle.
- * For SPEED-e and EASIEST, charges with respect to instruction submitted through Internet/E-instruction will be applicable.
- * Reissuance of Booklet charges will be ₹50 per booklet containing 10 leaflets
- * Margin Pledge transactions done through www.icicidirect.com will have NIL charges.

C7

Signature of Authorised Signatory 1
in Bank Account/Karta of HUF

Signature of Authorised Signatory 2
in Bank Account

Signature of Authorised Signatory 3
in Bank Account

** For future reference of rate card, kindly refer the bank website

ICICBank.com > Product > Demat > Service Charges & Fees

ACKNOWLEDGEMENT

Application No. _____

Accepted the application for opening a depository account from Shri/Smt _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively. Your Demat Client Id will be intimated to you shortly on acceptance. Please quote the DP Id & the Client Id allotted to you in all your future correspondence.

Date: _____ (For ICICI Bank Ltd.)

ICICI Bank Limited Address for communication: ICICI Bank Limited, CPC-Demat Services, Ground Floor, B-Wing, Autumn Estate, Chandivali Farm Road, Opp. Mhada Colony, Chandivali, Andheri (East), Mumbai - 400072. • Call our Customer Care Numbers • Visit www.icicibank.com

Form No. _____

- I wish to receive Rights & Obligations document through E-mail
 I wish to receive Rights & Obligations document through Physical form

To,

Date:

ICICI Bank Limited
CPC-Demat Services, Ground Floor, B-Wing,
Autumn Estate, Chandivali Farm Road,
Opp. Mhada Colony, Chandivali,
Andheri (East), Mumbai - 400072.

- DP ID - IN300183 DP ID - IN302679
 DP ID - IN302902 DP ID - IN303028

With reference to my/our application for opening a depository account, I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

©

	Name	Signature(s) of Authorised Signatory(s)
Authorised First Signatory/Karta of HUF		
Authorised Second Signatory		
Authorised Third Signatory		

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PID Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional, and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a min or photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/ Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (* Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

[* Sufficient documentary evidence in support of such claims to be collected.]

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MIs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-Individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly • Copies of the Memorandum and Articles of Association and certificate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> • PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to transact business on its behalf • Authorized signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate • Authorized signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead • Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws, certified by the Chairman/Secretary

Please Submit the KYC Documents on A4 Size Paper Only.

FATCA/CRS Declaration- Non Individuals

Customer ID/Account No: _____ **Barcode:** _____ **PAN :** _____

- A. Is the account holder a Government body or an International Organization like World Bank, WHO, IMF etc. Yes/ No
- B. Is the account holder a listed entity on a recognized stock exchange Yes / No
If "yes" please specify name of stock exchange _____
- C. Is the account holder an Indian Financial Institution Yes / No
If "yes", please provide your GIIN _____
(If GIIN is not there then provide the reason for not having it) _____
- D. Is the account holder tax resident of any country other than India Yes/ No
If "Yes", then please fill FATCA/ CRS self-certification form
- E. Is the entity substantially owned or controlled by persons resident for tax purpose in any country outside India or US persons: Yes/ No
 (Kindly go through the table below and select the appropriate category)

S. No.	Entity Type	Controlling Person / Substantial owner	Yes / No
E-1	Company	Any natural person holding more than 25% of shares or capital or profits in a company or chain of ownership	
E-2	Partnership	Any natural person holding more than 15% of the capital or profits of the partnership firm	
E-3	Unincorporated association or Body of individuals	Any natural person holding more than 15% of the property or capital or profits of an unincorporated association or body of individuals	
E-4	Trust	Any natural person being the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any person exercising ultimate effective control over the trust through a chain of control or ownership where the account holder is a trust	

E-5. If the answer to question 'E' is Yes but the answer E-1 to E- 4 is 'No' Yes/ No
 then is there any natural person exercising control over the entity through voting rights, agreement, arrangements, etc. or any other means.

E-6. If the answer to question 'E' is Yes but the answer E-1 to E- 5 is 'No' Yes/ No
 then relevant natural person who holds the position of senior managing official
 If any of the above point in section E is ticked as Yes & the controlling persons are resident for tax purpose outside India or US persons then provide FATCA/CRS Self- Certification.

Customer Declaration

(i) Under penalty of perjury, I/we certify that:

1. The applicant is:(i) An applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S.,

(ii) An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)

2. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

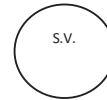
(ii) I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulators/tax authorities the Bank may also be required to report, reportable details to CDBT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete



Authorized Signatories and Company Seal (if applicable) (C)

Name _____

Date (DD/MM/YYYY) _____

To:

**Self-Certification Form (Entity) for Foreign Account Tax Compliance Act
("FATCA") and Common Reporting Standards (CRS)**

_____ Barcode : _____ PAN : _____

Section 1: Information of the entity opening account with ICICI Bank	
Name of Entity	
Customer id (if existing)	
Entity Constitution Type <i>(Refer Appendix 2)</i>	
Entity Identification type <i>(Refer Appendix 2)</i>	<input type="checkbox"/> T <input type="checkbox"/> G <input type="checkbox"/> C <input type="checkbox"/> E <input type="checkbox"/> O
Entity Identification type <i>(based on entity identification type)</i>	
Entity Identification issuing country	
Country/ies of Residence for tax purpose	

Section 2: Classification of Non-Financial entity opening account with ICICI Bank																					
I/We (on behalf of the entity) certify that the entity is:																					
a) An entity incorporated and taxable in US (Specified US person) <input type="checkbox"/> Yes <input type="checkbox"/> No																					
<i>If "Yes", please provide your U.S. Taxpayer Identification Number (TIN)</i>	<table border="1" style="margin-left: auto; margin-right: auto;"><tr><td align="center" colspan="10">TIN</td></tr><tr><td></td><td></td><td align="center">-</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	TIN												-							
TIN																					
		-																			
b) An entity incorporated and taxable outside of India (other than US) <input type="checkbox"/> Yes <input type="checkbox"/> No																					
<i>If "Yes", please provide your TIN or its functional equivalent</i>	<table border="1" style="margin-left: auto; margin-right: auto;"><tr><td align="center" colspan="10">TIN</td></tr><tr><td></td><td></td><td align="center">-</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	TIN												-							
TIN																					
		-																			
<i>Provide your TIN issuing country</i> _____																					
c) <i>Please provide the following additional details if you are not a Specified US Person :</i>																					
FATCA / CRS classification for Non-financial entities (NFE)																					
<input type="checkbox"/> Active NFE – Related entity of an entity listed on a stock exchange: Name of the Related Entity ____ Name of the stock exchange on which related entity is listed ____ _____																					

Active NFE - An entity wholly owned by Governmental Entity, an International Organization, a Central Bank.
Please specify the name of the Related Entity _____

Active NFE - Others (not covered under any of the categories above)

(as per the definition of Active NFE in Appendix I)

Passive NFE without any controlling Person

Passive NFE with any controlling Person(s):
US Others

Passive NFE being an Investment Entity located in a Non-Participating Jurisdiction for CRS
Provide Controlling Person details in Section 4

Direct Reporting NFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus bank is not required to do the reporting)
Please provide GIIN number _____

Section 3 : Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is :

a. An entity is a U.S. financial institution Yes No

If **“Yes”**,

(i) Please provide your Taxpayer Identification Number (TIN)

TIN/EIN									
		-							

(ii) Please provide GIIN, if any _____

If **“No”**, please tick one of the following boxes below :

FATCA classification	Please provide the Global Intermediary Identification number (GIIN) or other information where applicable
<input type="checkbox"/> Reporting Foreign Financial Institution in a Model 1 Inter-Governmental Agreement (“IGA”) Jurisdiction	
<input type="checkbox"/> Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction	

<input type="checkbox"/>	Participating FFI in a Non-IGA Jurisdiction	
<input type="checkbox"/>	Non-reporting FI	
<input type="checkbox"/>	Non-Participating FI	
<input type="checkbox"/>	Owner-Documented FI with specified US owners	

Section 4: Controlling person declaration

To be filled up if Entity is classified as “Passive NFE with Controlling Person(s)” or “Owner documented FFI” or “Specified US person” or “Investment entity in non-participating jurisdiction”, based on details mentioned in Section 2 or Section 3) please provide the following details:

Name of controlling person	Correspondence Address	Country of residence for tax purpose	TIN	TIN issuing country	Controlling person type

Details	Controlling person 1	Controlling person 2	Controlling person 3	Controlling person 4	Controlling person 5
Identification Type <i>(Refer Appendix 2)</i>					
Identification Number					
Occupation Type <i>(Refer Appendix 2)</i>					
Occupation Type					
Birth Date					
Nationality					
Country of Birth					

Section 5: Declaration

(i) Under penalty of perjury, I/we certify that:

1. The number shown on this form is the correct taxpayer identification number of the applicant, and
2. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof, or
3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

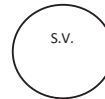
(ii) I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. The Bank is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form gets changed. .

(iv) I/ We agree as may be required by /Regulatory authorities, bank shall be required to comply to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete



Authorized Signatories and Company Seal (if applicable) (C_B)

Name _____

Date (DD/MM/YYYY) _____

Appendix I - Further instruction for completing the Self-Certification Form

- (A) "passive non-financial entity" means,-
- (i) any non-financial entity which is not an active non-financial entity, or
 - (ii) an investment entity described in sub-clause (B) of clause (c) of Explanation to clause (III) of sub-rule (5) of this rule, or
 - (iii) a withholding foreign partnership or withholding foreign trust.
- (B) "Passive income" includes income by way of: (i) dividends; (ii) interest; (iii) income equivalent to interest; (iv) rents and royalties (other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the non-financial entity); (v) annuities; (vi) the excess of gains over losses from the sale or exchange of financial assets that gives rise to the passive income; (vii) the excess of gains over losses from transactions (including futures, forwards, options, and similar transactions) in any financial assets; (viii) the excess of foreign currency gains over foreign currency losses; (ix) net income from swaps; or (x) amounts received under cash value insurance contracts:
- (C) "Active non-financial entity" means any non-financial entity which meets any of the following criteria, namely:-

- (i) less than 50 per cent of the entity's gross income for the preceding financial year is passive income and less than 50 per cent of the assets held by the entity during the preceding financial year are assets that produce or are held for the production of passive income; or
 - (ii) the stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity the stock of which is regularly traded on an established securities market; or
Explanation- For the purpose of this sub-clause, an established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange.
 - (iii) the entity is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing; or
 - (iv) substantially all of the activities of the entity consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a financial institution, except that an entity does not qualify for this status if it functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; or
 - (v) the entity is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a financial institution, provided that the entity does not qualify for this exception after the date that is 24 months after the date of the initial organization of the entity; or
 - (vi) the entity was not a financial institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a financial Institution; or
 - (vii) the entity primarily engages in financing and hedging transactions with, or for, related entities that are not financial institutions, and does not provide financing or hedging services to any entity that is not a related entity, provided that the group of any such related entities is primarily engaged in a business other than that of a financial institution; or
 - (viii) the entity meets all of the following requirements, namely:-
 - (a) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (b) It is exempt from income-tax in India;
 - (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - (d) The applicable laws of the entity's jurisdiction of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or noncharitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
 - (e) The applicable laws of the entity's jurisdiction of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the entity's jurisdiction of residence or any political subdivision thereof.
- (D) "Controlling person" means the natural persons who exercise control over an entity and includes a beneficial owner as defined in Explanation to sub-rule (1A) of rule 9 of Prevention of Money-laundering (Maintenance of Records of the Nature and Value of Transactions, the Procedure and Manner of Maintaining and Time for Furnishing Information and Verification and Maintenance of Records of the Identity of the Clients of the Banking Companies, Financial Institutions and Intermediaries) Rules, 2005.
- Pursuant to guidelines on identification of Beneficial Ownership issued vide RBI circular no. DBOD.AML.BC. No.71/14.01.001/2012-13 dated January 18, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:
- i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;

- ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the banking company and financial institution, as the case may be, shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

(ii) In cases where there exists doubt under (i) as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means. Explanation: Control through other means can be exercised through voting rights, agreement, arrangements, etc. (iii) Where no natural person is identified under (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

(E) "U.S. Person" means a United States of America citizen or resident individual, a partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof, a trust if (i) a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the United States of America.

(F) "Specified U.S. Person" – A U.S. person other than the following:

- i. a corporation the stock of which is regularly traded on one or more established securities markets;
- ii. any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- iii. the United States or any wholly owned agency or instrumentality thereof;
- iv. any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- v. any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- vi. any bank as defined in section 581 of the U.S. Internal Revenue Code;
- vii. any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- viii. any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- ix. any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- x. any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- xi. a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- xii. a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- xiii. Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(G) Non-reporting financial institution

Non-reporting financial institutions will not have any reporting obligations under FATCA and CRS.

Non-reporting financial institution means any financial institution that is,-

- (a) a Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank;

- (c) a non-public fund of the armed forces, Employees' State Insurance fund, a gratuity fund or a provident fund;
- (d) an entity that is an Indian financial institution solely because it is an investment entity, provided that each direct holder of an equity interest in the entity is a financial institution referred to in sub-clauses (a) to (c), and each direct holder of a debt interest in such entity is either a depository institution (with respect to a loan made to such entity) or a financial institution referred to in sub-clauses (a) to (c);
- (e) a qualified credit card issuer;
- (f) an investment entity established in India that is a financial institution solely because it,-
 - (I) renders investment advice to, and acts on behalf of, or
 - (II) Manages portfolios for, and acts on behalf of, or
 - (III) Executes trades on behalf of a customer for the purposes of investing, managing, or administering funds or securities deposited in the name of the customer with a financial institution other than a non-participating financial institution;
- (g) an exempt collective investment vehicle;
- (h) a trust established under any law for the time being in force to the extent that the trustee of the trust is a reporting financial institution and reports all information required to be reported under rule 114G with respect to all reportable accounts of the trust;
- (i) a financial institution with a local client base;
- (j) a local bank;
- (k) a financial institution with only low-value accounts;
- (l) sponsored investment entity and controlled foreign corporation, in case of any U.S. reportable account; or
- (m) sponsored closely held investment vehicle, in case of any U.S. reportable account.

(H) Non-participating financial institution

Non-participating financial institution means a financial institution defined in clause (r) of Article 1 of the agreement between the Government of the Republic of India and the Government of the United States of America to improve international tax compliance and to implement Foreign Account Tax Compliance Act of the United States of America (herein after referred to as the FATCA agreement), but does not include,-

- (a) an Indian financial institution; or
- (b) Other jurisdiction, being a jurisdiction that has in effect an agreement with the United States of America to facilitate the implementation of Foreign Account Tax Compliance Act (herein referred to as other partner jurisdiction), financial institution, other than a financial institution treated as a non-participating financial institution.

(I) Owner Documented FFI is an FFI that meets the following:

- (a) The FFI is an FFI solely because it is an investment entity;
- (b) The FFI is not owned by or in an expanded affiliated group with any FFI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FFI does not maintain a financial account for any nonparticipating FFI;
- (d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information (as appropriate) with respect to any specified U.S. persons that are identified.

Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

Appendix 2 – Reference Codes

Entity Constitution Type		
A - Sole Proprietorship	D - Private Limited Company	G- AOP/BOI
B - Partnership Firm	E- Public Limited Company	H – Trust
C – HUF	F- Society	I – Liquidator
J – Limited Liability Partnership	K- Artificial Juridical Person	Z – Others
Entity Identification type		
T- Tax identification number	G- US Global intermediary Identification number	O - Other
C- Company Identification Number	E- Global Entity Identification Number (EIN)	
Controlling Person Type		
C01- CP of legal person- ownership	C02- CP of legal person- other means	C03- CP of legal person – senior managing official
C04- CP of legal arrangement trust-settlor	C05– CP of legal arrangement trust-trustee	C06– CP of legal arrangement – trust- protector
C07– CP of legal arrangement trust-beneficiary	C08– CP of legal arrangement trust-other	C09– CP of legal arrangement – other- settlor equivalent
C10– CP of legal arrangement other-trustee equivalent	C11– CP of legal arrangement other-protector equivalent	C12– CP of legal arrangement – other- beneficiary equivalent
C13– CP of legal arrangement other – other equivalent	C14- Unknown	
Controlling person identification type		
A-Passport	C-Pan card	H- NREGA job card
B-Election ID card	D-ID Card	Z-Others
E-Driving License	G-UIDAI Letter	X-Not categorised
Controlling person occupation type		
S- Service	O- Others	X- Not categorised
B- Business		

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons what so ever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5 & 6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.

2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

By ticking here, I agree with the below terms pertaining to authentication and updation of my Aadhaar details:

I hereby provide my voluntary consent and authorize ICICI Bank to:

- A) Authenticating me with UIDAI's Aadhaar based authentication system;
- B) Updating my Aadhaar details in the account opened pursuant to this Application Form;
- C) Use my registered mobile number to receive SMS alerts from ICICI Bank regarding Aadhaar; and
- D) Use, exchange and share my Aadhaar details with concerned regulatory and/or statutory authorities as may be sought by them and / or required under applicable law/s

By ticking here, I agree with the below terms pertaining to sharing of my Aadhaar related information:

- A) ICICI Bank has informed me that my biometric details shall not be stored by ICICI Bank and shall not be shared with any third party except as provided under applicable laws and shall be submitted to Central Identities Data Repository / Unique Identification Authority of India (UIDAI) for purpose of authentication.
- B) I understand and agree that the nature of information which may be shared by UIDAI with the bank upon authentication shall be in nature of my demographic information including photograph and email id and mobile number which ICICI Bank may use for KYC verification as identity/address proof for the purpose of account opened pursuant to this Application Form.

By ticking here, I agree with the below terms pertaining to the purpose for which my Aadhaar details shall be used:

- A) ICICI Bank has informed me & I am fully aware that my Aadhaar details and identity information would only be used for Yes/No authentication facility (using demographic, OTP, biometric or multifactor authentication) or e-KYC authentication facility (using OTP or biometric authentication) , as may be applicable and for updation of Aadhaar details in the account opened pursuant to this Application Form.
- B) My Aadhaar information submitted to the bank herewith shall not be used for any purpose other than mentioned above.

I hereby understand, that my request for updation of Aadhaar details in the bank account will be processed within 7 working days. The request will not be processed, if:

- (I) Aadhaar details provided by me is incorrect / incomplete;
 - (ii) My details in bank account to be opened pursuant to this Application Form, do not match with details available with UIDAI as a result of which authentication with UIDAI fails; and
 - (iii) Technical failures while authenticating Aadhaar with UIDAI.
- C) All the above information, furnished by me to the Bank is true, correct and complete. ICICI Bank does not take any responsibility and shall not be held liable for any claims whatsoever, should the details provided by me are incorrect/ incomplete.
 - D) In case of any correction, dispute, query or complaint regarding the Aadhaar updation, customer(s) shall address the same to phone banking team of ICICI bank or visit the nearest ICICI Bank branch.



X

Signature of Sole/First Holder

X

Signature of Second Holder

X

Signature of Third Holder