



**Enclosures: Documents required for Transmission**

	Joint Holder	Nominee Case	Legal Heir Case	
			Holding Value < 100000	Holding value > 100000
<b>1. Transmission Form</b> Transmission Form duly completed by Joint Holder(s)/ Nominee/ Legal Heirs	√	√	√	√
<b>2. Affidavit</b> An affidavit must be executed on non judicial stamp paper of appropriate value applicable to respective state governments and should be attested by Notary public/magistrate as per NSDL format. >Legal heir/s must sign on Deponent & Verification part of Affidavit >Execution Date must be mentioned.	NA	NA	√	NA
<b>3. Death Certificate</b> Death Certificate dully attested by Notary Public/Gazetted Officer	√	√	√	√
<b>4. NOC</b> No objection letter must be signed by each legal heir separately as per NSDL format & attested by banker's	NA	NA	√	NA
<b>5. Indemnity Certificate</b> The Indemnity must be attested by a Notary/Magistrate. The letter of Indemnity must be executed on the non-judicial stamp paper of appropriate value applicable to respective State governments supported by surety as per NSDL format. Execution Date must be mentioned.	NA	NA	√	NA
<b>6. Succession Certificate / Probate / Letter of Administration</b> A copy of the Succession certificate duly attested by Notary Public/Gazetted Officer or an order of a court of competent jurisdiction where the deceased has not left a Will. Copy of the Probate or Letter of Administration must be attested by Notary Public/Gazetted Officer	NA	NA	NA	√
<b>7. PAN Copy</b> If PAN details not updated in deceased a/c, then PAN card copy of nominee & legal heir to be obtained.	√	√	√	√
<b>8. Client Master List</b> If the Nominee/ Legal Heirs / Joint holder has a Demat account with another DP. Client Master List in crystal format duly certified (signed and stamped) by the target Participant or if CML in crystal report format is not available,the CML of target details should be certified (signed and stamped) by the other depository participant.	√	√	√	√
<b>9. Transmission of securities held by KARTA</b> a) True copy of death Certificate duly attested by Notary Public/Gazetted Officer. b) For transmission of securities to the account of the new Karta from the account of the deceased Karta, the surviving members through the new Karta shall make a joint application to the Participant in the Form specified in Annexure "O". >If the surviving members or the New Karta express their inability to produce the order of a competent Court and that the market value of securities lying to the credit of such account as on the date of application for transmission of securities does not exceed Rupees Ten Lakh then the participant shall process the transmission request on the basis of the following documents: i. Request for transmission in Annexure "O", duly signed by the New Karta & affidavit in the prescribed form, duly attested by a Notary Public ii. A declaration of the list of surviving members of HUF (Non-Judicial stamp paper of Rs. 20/-) and duly attested by a Notary Public iii. Letter of Indemnity in the prescribed form from the surviving members (deed of indemnity provided by each of the surviving members of the HUF indemnifying NSDL from and against all loses, liability, costs and expenses, including legal fees on a Non-Judicial stamp paper of Rs. 200/-) and duly attested by a Notary Public. Target a/c must be also in the HUF category. >Where there is an objection from any member of the HUF, transmission of securities held in the beneficial owner account must be effected only on the basis of a Decree by a competent Court or the Deed of Partition. i. The surviving members must furnish Notary attested copy of the Settlement Deed/ Deed of Partition / Decree of the relevant Court, duly stamped in case the HUF goes into separation/ partition for dealing with the securities lying in the beneficial owner account held by the deceased Karta, ii. Furnish details of the beneficial owner accounts of the individual members in order to have the securities distributed to their respective accounts in case of Partition.				