

### Transmission Form

Date:  DP ID:  Client ID:  (Deceased A/C)  
(Source a/c)

Sole/First Holder	<input type="text"/>
	(Name of the First Holder as updated in Demat account)
Second Holder	<input type="text"/>
	(Name of the Second Holder as updated in Demat account)
Third Holder	<input type="text"/>
	(Name of the Third Holder as updated in Demat account)

ICICI Bank Ltd (Demat Services)  
 I/We, the undersigned, being the (tick whichever is applicable)  
 Executor/s of the will     Administrator/s of the Estate     Successor to the Estate  
 Legal heir/s     Joint Holder/s     Nominee (Please tick the appropriate option)

of Mr./Mrs./Ms.  (Deceased Name)

the deceased, of which nomination//Probate of Will/Legal heir certificate probate/letter of administration/succession certificate was duly granted to me/us on the \_\_\_\_\_ day of \_\_\_\_\_ hereby request you  
(Please mention Day & Year)

to register me/us as the beneficial owner/s in respect of the securities standing in the name of said deceased under:

DP ID: <input type="text"/>	Client ID: <input type="text"/>	(Source a/c) (Deceased A/C)
(DP ID of Deceased)	(Client ID of Deceased)	

I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be Transmitted as under:

Name: <input type="text"/>	DP ID: <input type="text"/>	Client ID: <input type="text"/> (Target a/c)
(Claimant)	(Claimant)	(Claimant A/C / Target A/C)

I/We \_\_\_\_\_ request you to update the below details in demat account  
(claimant name)  
 number \_\_\_\_\_  
(deceased account number)

Claimant Name: \_\_\_\_\_ Mobile Number:

Email Id: \_\_\_\_\_

**Income Range Individuals (NSDL & CDSL)**  
 1<sup>st</sup> Holder     Below ₹ 1 Lacs     ₹ 5 Lacs to ₹ 10 Lacs     More than ₹ 25 Lacs     ₹ 1 Lacs to ₹ 5 Lacs  
 Income Range-individuals (NSDL & CDSL)     ₹ 10 Lacs to ₹ 25 Lacs

Recovery of dues	
<input type="checkbox"/> Direct Debit	Please debit my ICICI Bank account (A/c no. <input type="text"/> ) for recovery of any pending dues against my account. <span style="font-size: small;">(Please tick on the appropriate option for recovery of dues)</span>
<input type="checkbox"/> Cheque Payment	Cheque number.....drawn on Bank.....
<input type="checkbox"/> Cash Payment <input type="checkbox"/> UPI Payment	.....

**Declaration:**  
 Joint Holder cases only): I/We declare and confirm that all the transactions in my/our Demat Account are true/authentic. (Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account Value of holding not exceeding ₹ 15 Lakh on the date of application)  
 We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

SR. No	Name of the legal heir(s) <span style="font-size: small;">(Name of the legal heir who is claiming - Claimant) (Name of the legal heir who is giving NOC - No objection certificate)</span>	Specify whether the legal heir(s) is a claimant or has given a No Objection Certificate.

**Signatures of:**

SOLE HOLDER	2ND HOLDER	3ND HOLDER	LEGAL HEIR/NOMINEE

I/We hereby submit the following documents to support my claim for the said securities.

Please tick whichever is applicable:		
<input type="checkbox"/> Death Certificate (duly attested by a Notary Public/ Gazetted Officer)	<input type="checkbox"/> Indemnity	<input type="checkbox"/> Court Decree
<input type="checkbox"/> Letter of Administration	<input type="checkbox"/> Affidavit for legal heir	<input type="checkbox"/> Settlement Deed
<input type="checkbox"/> No-objection Certificate	<input type="checkbox"/> Letter of surety	<input type="checkbox"/> Succession certificate
<input type="checkbox"/> Deed of Partition	<input type="checkbox"/> No Objection Letter/s	<input type="checkbox"/> Probate of the Will
<input type="checkbox"/> CML (In case of non ICICI Bank Target A/C)	<input type="checkbox"/> Legal heir certificate	

Enclosures: Documents required for Transmission	Joint Holder	Nominee Case	Legal Heir Case	
			Holding Value < 1500000 (as on the date of application)	Holding Value => 1500000 (as on the date of application)
1. Transmission Form Transmission Form duly completed by Joint Holder(s)/ Nominee/ Legal Heirs , if there are more than one claimant, separate transmission form needs to be taken from each claimant.	✓	✓	✓	✓
2. Original death certificate or copy of the death certificate attested by the legal heir(s) or claimant(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer	✓	✓	✓	✓
3. Self-attested copy of PAN card of the legal heir(s)/claimants issued by the Income Tax Department	✓	✓	✓	✓
4. In case the account of the legal heir(s)/claimant(s)/nominee(s)/surviving account holder(s) is/are not with the Participant-ICICI bank, then copy of the crystal format Client Master Report (CML) of the account of the legal heirs(s)/claimant(s)/nominee(s)/surviving account holder(s) is required	✓	✓	✓	✓
5. A notarized affidavit, from all legal heir(s) made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities (in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration or Legal Heirship Certificate (or its equivalent certificate), issued by a competent Government Authority, an affidavit from such legal heir(s)/claimant(s) alone shall be sufficient)	NA	NA	✓	✓
6. Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR Copy of Probate* of Will (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; *Probate of Will shall be only insisted on, if applicable in terms of Indian Succession Act, 1925. OR	NA	NA	NA	✓

<p>Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer shall be accompanied with a notarised Indemnity Bond from the legal heir(s) / claimant(s) to whom the securities are transmitted ; Will duly executed in terms of Indian Succession Act, 1925 shall be accompanied with a notarized indemnity bond from the legal heir(s)/claimant(s) to whom the securities are transmitted.</p> <p>OR</p> <p>Copy of Legal Heirship Certificate or its equivalent certificate issued by a Competent Government Authority duly attested by a Notary Public or by a Gazetted Officer or attested by the legal heir(s) / claimant(s) subject to verification with the original to be accompanied with a notarised Indemnity Bond and No objection from all the legal heir(s) / non-claimant(s) stating that they have relinquished their rights to the claim for transmission of securities duly attested by a notary public or by a gazetted officer</p>				
<p>7. In case of non-availability of documents mentioned in Point No. 6, the following shall be submitted along with documents mentioned in Point No. 1 to 5;</p> <p>I. Notarised Indemnity Bond made on non-judicial stamp paper of appropriate value from all legal heir(s) / claimant(s) to whom securities are transmitted;</p> <p>II. No Objection Certificate(s) from all the legal heir(s) / non-claimants, clearly relinquishing their rights to the claim for transmission of securities or Copy of Family Settlement Deed duly executed by all legal heir(s), duly attested by a notary public or by a gazetted officer [as an alternate to No objection certificate(s)]</p>	NA	NA	✓	NA
<p>8. Nominee Indemnity Certificate</p> <p>a) The Indemnity must be attested by a Notary/ Magistrate. The letter of Nominee Indemnity must be executed on the non-judicial stamp paper of appropriate value applicable to respective State governments supported by surety as per NSDL format. Execution Date must be mentioned.</p>	NA	✓	NA	NA
<p>b) Prior to Annexure JA - Indemnity required in case of nomination opted by beneficial owner.</p>	NA	✓	NA	NA
<p>c) Post Annexure JA - Any id proof submitted at the time of nomination needs to be provided along with the transmission form.</p>	NA	✓	NA	NA

**To be filled in by Bnak officials**

SDO Code: ..... SDO Name: ..... INDOC No.: .....

..... Date: 

D	D	M	M	Y	Y	Y	Y
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 Bank Branch Seal : .....

**Acknowledgement**

We have received Transmission of shares request for:

DP ID: 

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 Client ID: 

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 Client ID: 

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 Client ID: 

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Demat A/C holder's Name \_\_\_\_\_

Received by \_\_\_\_\_

Bank Official Signature \_\_\_\_\_ Branch \_\_\_\_\_ SR No \_\_\_\_\_

Date 

D	D	M	M	Y	Y	Y	Y
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